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Effect of Operating Conditions on Gearbox Noise

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EFFECT OF OPERATING CONDITIONS ON GEARBOX NOISE

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ABSTRACT

Low-contact-ratio spur gears were tested in the NASA gear-noise rig to study the noise radiated from the top of the gearbox. The measured sound power from the gearbox top was obtained from a near-field acoustic intensity scan taken at 63 nodes just above the surface. The sound power was measured at a matrix of 45 operating speeds and torque levels. Results are presented in the form of a spectral speed map and as plots of sound power versus torque (at constant speed) and as sound power versus speed (at constant torque). Because of the presence of vibration modes, operating speed was found to have more impact on noise generation than torque level.

A NASA gear dynamics code was used to compute the gear tooth dynamic overload at the same 45 operating conditions used for the experiment. Similar trends were found between the analytical results for dynamic tooth overload and experimental results for sound power. Dynamic analysis may be used to design high-quality gears with profile relief optimized for minimum dynamic load and noise.

INTRODUCTION

A major source of helicopter cabin noise (which has been measured at over 100 dB sound pressure level) is the gearbox. Reduction of this noise is a NASA and U.S. Army goal. Gearmesh noise is typically in the frequency range of 1000 to 3000 Hz, a range important for speech. A requirement for the U.S. Army/NASA Advanced Rotorcraft Transmission project is a 10-dB noise reduction compared to current designs. A combined analytical/experimental effort is underway to study effects of design parameters on noise production.

The information in the literature relating gearbox noise to operating conditions such as speed and torque is not consistent. Much of the confusion may be the result of (1) differing levels of accuracy of the gears used by the various researchers, (2) variation in structural effects (mechanical resonance), and (3) differences in the radiation efficiencies of different structures.

Houser (1991) suggests that a perfect gearbox may radiate $10^{-9}$ of its input power as noise. For a very high-quality gearbox, this ratio is on the order of $10^{-7}$ to $10^{-8}$. If the noise represents a constant fraction of power, doubling either speed or torque would produce a 3-dB noise increase. Houser cites references reporting a noise level increase from 2 or 3 dB to 10 dB for doubling of speed. Likewise, doubling gearbox torque has produced reported noise level increases from 3 to 6 dB.

A simplified equation presented in an appendix to Bossler et al. (1978) predicts that, for spur gears, the sound power level in decibels at the meshing frequency will vary by a factor of $20 \log(r) + 37.8 \log(f)$ where $r$ is the transmitted torque and $f$ is the gearmesh frequency in Hz. This indicates that the sound power will increase by 6 dB as the torque doubles and by 11 dB as the speed doubles.

Levine and DeFelice (1977) report sound power increases of 1.2 dB for bevel gears and 3 to 4 dB for planetary gears for a doubling of horsepower. Shahan and Kamperman (1966) contains figures relating sound pressure level to specific tooth load and to rotation speed. They show an approximate 2.5-dB sound pressure level (SPL) increase for a doubling of specific tooth load and a similar 2.5-dB SPL increase for doubling of speed for gears with a profile error of 0.0002 in. (This tolerance translates to AGMA class 13 for small gears such as those tested in the present work.) Watanabe and Rouverol (1990) plot noise level as a function of speed for various automotive timing gears. Their curves typically show a 10-dB increase for a doubling of speed. Atherton et al. (1987) present a plot showing sound power at mesh frequency which shows little torque effect. Finally, Houser (1991) mentions that some researchers report a noise level decrease as torque is increased.

Various analytical codes are available to simulate gear dynamics, vibration, and noise. These include DANST (dynamic analysis of spur-gear transmissions) (Lin et al., 1987a, 1987b, and 1989), GEARDYN (gear dynamics) (Boyd and Pike, 1987 and Pike, 1981); and GRD (geared rotor dynamics) (Kahraman et al., 1990). Finite element methods may also be used to predict the structural vibration properties of a gearbox. The boundary element method for acoustic prediction (BEMAP) may be used to predict the noise from vibration data (Seybert et al. 1991).
Part of NASA gear noise research is directed toward validating computer codes so that the codes may be used as design tools. Earlier work in this project produced dynamic load and stress data for validation of the NASA gear dynamics code DANST (Oswald et al., 1991). A related project provided validation data for the acoustics code BEMAP (Oswald et al., 1992).

The goal of this effort has been to provide experimental data to assess the effects of speed and torque on the noise produced by a gearbox. Results presented include narrow-band spectra of the sound power and trends of mesh frequency sound power as speed and torque are varied. This paper compares the trends of dynamic overload as predicted by the DANST code with measured gearbox noise.

APPARATUS

Test Facility

The NASA Lewis gear-noise rig (Fig. 1) was used for these tests. This rig features a single-mesh gearbox powered by a 150-kW (200-hp) variable-speed electric motor. An eddy-current dynamometer loads the output shaft. The gearbox can be operated at speeds up to 6000 rpm. The rig was built to carry out fundamental studies of gear noise and the dynamic behavior of gear systems. It is designed to allow testing of various configurations of gears, bearings, dampers, and supports. To reduce unwanted reflection of noise, acoustic-absorbing foam baffles covered the test cell walls, floor, and other nonmoving surfaces. The material used attenuates the reflected sound by 20 dB for frequencies of 500 Hz and above.

A poly-V belt drive was used as a speed increaser between the motor and input shaft. A soft coupling was installed on the input shaft to reduce input torque fluctuations, which were caused by nonuniformity at the belt splice.

The test gears were identical spur gears (at 1:1 ratio) machined to AGMA Class 15 accuracy. The gear profiles were modified with linear tip relief chosen for optimum operation at design load. Test gear parameters are shown in Table I.

<table>
<thead>
<tr>
<th>TABLE I—TEST GEAR PARAMETERS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Spur gear type .......... Standard involute, full-depth tooth</td>
</tr>
<tr>
<td>Number of teeth ............... 28</td>
</tr>
<tr>
<td>Module, mm (diametrical pitch in.−1) .......... 3.175 (8)</td>
</tr>
<tr>
<td>Face width, mm (in.) .......... 6.35 (0.25)</td>
</tr>
<tr>
<td>Pressure angle, deg .......... 20</td>
</tr>
<tr>
<td>Nominal (100 percent) torque, N-m (in.-lb) .......... 71.77 (635.25)</td>
</tr>
<tr>
<td>Theoretical contact ratio ................. 1.64</td>
</tr>
<tr>
<td>Modification amount, mm (in.) .......... 0.018 (0.0007)</td>
</tr>
<tr>
<td>Modification start, deg .......... 24.5</td>
</tr>
</tbody>
</table>

A 63-node measurement grid was marked out on the top of the gearbox. The grid covers an area 228 by 304 mm (9 by 12 in.) centered on the 286- by 362-mm (11.25- by 14.25-in.) top. The gearbox with its measurement grid and some instrumentation are shown in Fig. 1(b).

Instrumentation and Test Procedure

An experimental modal test was performed to determine the modes of vibration and natural frequencies of the gearbox top. An 800-line, 2-channel dynamic signal (FFT) analyzer collected frequency-domain data. Commercial modal software running on a personal computer was used for the analysis. The modal tests were performed with the top installed on the gearbox and with the gearbox heated to operating temperature. The structure was excited sequentially at each of the 63 nodes using a load-cell-equipped modal hammer to measure excitation forces. The response was measured with a small piezoelectric accelerometer mounted at a reference location near the center of the gearbox top.

Acoustic intensity measurements were performed under stable, steady-state operating conditions with the aid of a computer-controlled robot designated RAIMS (robotic acoustic intensity measurement system). The RAIMS software (1) commanded the robot to move an intensity probe over a prescribed measurement grid; (2) recorded acoustic intensity spectra in the analyzer for each node of the grid; and (3) transmitted the spectra to the computer for storage on disk. RAIMS is more completely described in Flanagan and Atherton (1985) and in Atherton et al. (1987).

The acoustic intensity probe consists of a pair of phase-matched 12-mm microphones mounted face-to-face with a 12-mm spacer. The probe has a frequency range of 125 to 5000 Hz (±1 dB). Measurements were made at a distance of 75 mm between the acoustic center of the microphones and the gearbox top.
The 63 intensity spectra collected at each operating condition were averaged, then multiplied by the radiation area to compute an 800-line sound power spectrum. The radiation area was assumed to be the area of the grid plus one additional row and column of elements or 0.0910 m². The actual area of the top is 0.1034 m². The measurement grid did not extend completely to the edges of the gearbox top because (1) the edge of the top was bolted to a stiff mounting flange which would not allow much movement, and (2) measurements taken close to the edge of the top would be affected by noise radiated from the sides of the box. Noise measurements from the gearbox sides were not attempted for the following reasons: (1) the top is not as stiff as the sides; thus, noise radiation from the top dominates; (2) the number of measurement locations were kept reasonable; and (3) shafting and other projections made such measurements difficult.

Sound power measurements were made at a matrix of 45 test conditions: 5 speeds (2000, 3000, 4000, 5000, and 6000 rpm) and 9 torque levels (16, 31, 47, 63, 79, 94, 110, 126, and 142 percent of the reference torque 71.8 N-m (635 in-lb)). During measurements, speed was held to within ±4 rpm and torque to ±1 N-m. Acoustic data was recorded over the bandwidth 0 to 3200 Hz.

**RESULTS AND DISCUSSION**

Plots of the first four vibration modes found from modal tests are shown in Fig. 2. (These modes occur at slightly different frequencies than those reported in Seybert et al. (1991). The difference is due to different clamping conditions for the top. A soft cork gasket under the gearbox top has been replaced by a rubber O-ring in a machined groove. The O-ring allows stiffer clamping.)

A spectral map compares sound power for the 5 different speeds at the constant torque level of 94 percent in Fig. 3. The gearmesh frequency is indicated on each curve. Three pairs of sidebands around the mesh frequency are indicated (only on the top trace). The first five modes from the modal tests are identified at the top of the figure. On the 2000-rpm curve, the gearmesh frequency (933 Hz) is very close to mode B at 975 Hz. Likewise, the 2333-Hz gearmesh frequency on the 5000-rpm curve is near the 2296-Hz mode E.

![Figure 2.—Gearbox vibration modes.](image-url)

![Figure 3.—Sound power measurements at 5 speeds (constant 94-percent torque level).](image-url)
The measured sound power includes some noise originating from the motor, shafting, or other noise sources refracted or reflected to the measurement location. Most of this noise from sources other than the gearbox occurs at low frequencies (below about 400 Hz). Low-frequency noise is expected to contaminate the measurements because the acoustic baffles decline in absorption ability at low frequencies and because low-frequency noise is more likely to be refracted to the intensity probe. Because of this low-frequency noise, frequencies below 400 Hz are not shown in the spectra of Fig. 3. Even somewhat above 400 Hz, there were a few frequency bands in which the sound intensity flow direction was toward the gearbox; hence, the intensity was negative. These frequencies show up as gaps in the sound power curves.

The data used in the spectra in Fig. 3 and similar data from the other eight torque levels tested were used to compute the mesh sound power. The mesh sound power is defined here as the sum of the sound power at gearmesh frequency and at three pairs of sidebands (i.e., the sum of seven values). These data are shown for 45 test conditions (5 speeds and 9 torques) in Figs. 4 and 5.

Figure 4 presents mesh sound power as a function of speed at the nine torque levels. The highest noise levels are found at 5000 and 2000 rpm. The noise levels at 5000 rpm are highest at low torque, whereas the 2000-rpm levels are greatest at high torque. The expected trend of generally rising noise level with speed has been overwhelmed by modal response in Fig. 3. The highest noise levels are produced by operation at speeds (2000 and 5000 rpm) which excite vibration modes. It is difficult to find a general trend in this data for the effect of speed on gearbox noise caused by the presence of vibration modes.

In the results presented in Fig. 5, no general trend of gearbox noise rising with increasing torque can be found although the torque was varied by a factor of 7 to 1, Indeed, certain torque levels seem to produce minimum noise. Houser (1991) discusses designing gears for minimum transmission error (and hence minimum noise). Figure 5 suggests that the profile modifications, which were chosen from an analysis using DANST, are nearly optimum for 100-percent load. At low-torque operation the profile modification is excessive and noise is high.

Since the DANST gear dynamics code cannot account for the modal properties of the gearbox, it is not meaningful to compare the trend of gearbox noise to DANST predictions as speed is varied. However, torque variation, which affects the meshing action of the gears, is considered by DANST. Figure 6 shows the result of using DANST to simulate the 45 operating conditions for the experimental data in Fig. 3. The figure shows the dynamic overload (defined as

![Figure 4](image-url)
Figure 5.—Mesh sound power as a function of torque at 5 speeds computed from the sum of values at mesh and at 3 pairs of sideband frequencies.

Figure 6.—Gear tooth dynamic overload predicted by DANST. Dynamic overload is computed from the difference between maximum tooth load and static tooth load.
the maximum dynamic load minus the maximum static load) as a function of torque at five speeds. All curves show a minimum at 79- or 94-percent torque. Except for the 2000- and 3000-rpm curves, the minimum overload occurs at a torque level similar to that for minimum sound power in Fig. 5.

CONCLUSIONS

Measurements of gearbox noise were made in the NASA gear-noise rig to investigate the effect of operating conditions (speed and torque) on gearbox noise. Results were also compared to predictions of the gear dynamics code DANST. The following conclusions were obtained.

1. Similar trends were found between analytical results for dynamic tooth overload and experimental results for sound power. This indicates the dynamic analysis simulates the noise excitation of the gears.

2. Operating speed impacts gearbox noise generation more than torque, chiefly because of the presence of vibration modes. Operation at speeds which excite modes may produce very high noise levels.

3. High-quality spur gears, with proper profile relief, operate with minimum noise at the torque level which produces minimum dynamic response. Very low-torque operation may produce high dynamic loads and, therefore, high noise levels because of gear rattle.

4. The dynamic tooth loads and noise of high-quality spur gears may be minimized by proper choice of profile modification. This modification may be chosen using an analytical model of the gear pair.

REFERENCES


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