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DNA Double-Strand Breaks and Telomeres Play Important Roles in Trypanosoma brucei Antigenic Variation

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DNA Double-Strand Breaks and Telomeres Play Important Roles in *Trypanosoma brucei* **Antigenic Variation**

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Human-infecting microbial pathogens all face a serious problem of elimination by the host immune response. Antigenic variation is an effective immune evasion mechanism where the pathogen regularly switches its major surface antigen. In many cases, the major surface antigen is encoded by genes from the same gene family, and its expression is strictly monoallelic. Among pathogens that undergo antigenic variation, *Trypanosoma brucei* **(a kinetoplastid), which causes human African trypanosomiasis,** *Plasmodium falciparum* **(an apicomplexan), which causes malaria,** *Pneumocystis jirovecii* **(a fungus), which causes pneumonia, and** *Borrelia burgdorferi* **(a bacterium), which causes Lyme disease, also express their major surface antigens from loci next to the telomere. Except for** *Plasmodium***, DNA recombination-mediated gene conversion is a major pathway for surface antigen switching in these pathogens. In the last decade, more sophisticated molecular and genetic tools have been developed in** *T. brucei***, and our knowledge of functions of DNA recombination in antigenic variation has been greatly advanced. VSG is the major surface antigen in** *T. brucei***. In subtelomeric VSG expression sites (ESs),** *VSG* **genes invariably are flanked by a long stretch of upstream 70-bp repeats. Recent studies have shown that DNA double-strand breaks (DSBs), particularly those in 70-bp repeats in the active ES, are a natural potent trigger for antigenic variation in** *T. brucei***. In addition, telomere proteins can influence VSG switching by reducing the DSB amount at subtelomeric regions. These findings will be summarized and their implications will be discussed in this review.**

T*rypanosoma brucei* is a protozoan parasite that causes human African trypanosomiasis and is transmitted by the tsetse fly (*Glossina* spp.). The bloodstream form of *T. brucei* stays in extracellular spaces in its mammalian host and is constantly exposed to host immune surveillance. To evade elimination by its mammalian host immune response, *T. brucei* undergoes antigenic variation and regularly switches its major surface antigen, variant surface glycoprotein (VSG), through elaborated mechanisms that often involve DNA recombination [\(1\)](#page-7-0).

ANTIGENIC VARIATION IN *T. BRUCEI*

The *T. brucei* genome [\(2\)](#page-7-1) has a large *VSG* gene pool. Recent deepsequencing analysis of the Lister 427 strain identified more than 2,500 *VSG* genes and pseudogenes [\(3\)](#page-7-2). Most of these are in gene arrays located at subtelomeric regions of the 11 pairs of megabase chromosomes [\(Fig. 1A\)](#page-2-0) [\(4\)](#page-7-3). Individual *VSG* genes are found at approximately one-third of all subtelomeres on \sim 100 minichro-mosomes [\(Fig. 1B\)](#page-2-0) [\(3\)](#page-7-2), which contain terminal telomere repeats and central 177-bp repeats [\(5\)](#page-7-4). Normally, *VSG* gene arrays and minichromosome *VSG* genes are not transcribed but serve as a large *VSG* gene pool for VSG switching. VSGs are transcribed by RNA polymerase I exclusively from subtelomeric VSG expression sites (ESs) located on megabase chromosomes and intermediate chromosomes [\(Fig. 1C\)](#page-2-0) [\(6,](#page-7-5) [7\)](#page-7-6). Each ES contains a number of *ES-associated genes*(*ESAG*), and ES promoters usually are 40 to 60 kb upstream of the*VSG*gene [\(8,](#page-7-7) [9\)](#page-7-8), which is the last gene in any ES and is located within 2 kb of the telomere repeats [\(10,](#page-7-9) [11\)](#page-7-10). It is noteworthy that about half of the annotated *VSG* genes have upstream 70-bp repeats [\(3\)](#page-7-2). In the assembled ES sequences, 70-bp repeats are 0.2 to 7.1 kb long [\(11\)](#page-7-10). However, sequencing and assembly of repetitive sequences are not completely reliable. The 70-bp repeats are underrepresented and can be several tens of kb

long in ESs. The 70-bp repeats upstream of individual *VSG* genes and pseudogenes in *VSG* gene arrays generally are much shorter and of only a few copies [\(12,](#page-7-11) [13\)](#page-7-12). The 70-bp repeats upstream of *VSG* genes presumably provide homologous sequences for efficient DNA recombination in VSG switching [\(14](#page-7-13)[–](#page-7-14)[17\)](#page-7-15) (see below).

There aremultiple ESsin the*T. brucei* genome (e.g., 15 ESs in the Lister 427 strain) [\(11,](#page-7-10) [18,](#page-7-16) [19\)](#page-7-17). Although different ESs usually contain different *VSG* genes, ES promoter sequences are highly conserved [\(20](#page-7-18)[–](#page-8-0)[22\)](#page-8-1). Different ESs also have very similar gene organizations and exhibit \sim 90% sequence identity [\(11\)](#page-7-10). However, at any moment, only one ES promoter is fully active, resulting in a single type of VSG being expressed [\(23\)](#page-8-2). This monoallelic VSG expression ensures that after a VSG switching event, the originally active VSG no longer is expressed on the cell surface. Several mechanisms of VSG expression regulation have been identified, including specialized localization of the active ES at an extranucleolar ES body (ESB) that is enriched with RNA polymerase I [\(24\)](#page-8-3), regulated transcription elongation along ESs [\(25,](#page-8-4) [26\)](#page-8-5), modulation of ES chromatin structure [\(27](#page-8-6)[–](#page-8-7)[32\)](#page-8-8), modulation of ES promoter activities [\(33](#page-8-9)[–](#page-8-10)[37\)](#page-8-11), and telomere protein-mediated telomeric silencing [\(38,](#page-8-12) [39\)](#page-8-13). VSG expression regulation has been reviewed else-where recently [\(40,](#page-8-14) [41\)](#page-8-15) and will not be discussed here in detail.

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FIG 1 *VSG* genes are located mostly at subtelomeric regions in the *T. brucei* genome. (A) Large subtelomeric *VSG* arrays, including both *VSG* genes and pseudogenes. (B) Individual *VSG* genes often are found on minichromosomes at subtelomeric regions. (C) A typical *VSG* expression site (ES). *VSG* is the last gene in any ES and is located within 2 kb of the telomere repeats. A long stretch of 70-bp repeats is upstream of the *VSG* gene. ESs also contain a number of *ESAG* genes, which are upstream of the 70-bp repeats. The ES promoter is often 40 to 60 kb upstream of the *VSG* gene. ESs are located on megabase and intermediate chromosomes.

VSG switching occurs through two major pathways. One involves a transcriptional switch and the other DNA recombination [\(Fig. 2\)](#page-2-1). During an *in situ* switch, the originally active ES is silenced while an originally silent ES is expressed [\(Fig. 2A\)](#page-2-1) [\(19,](#page-7-17) [42,](#page-8-16) [43\)](#page-8-17), but no gene rearrangement is involved. *In situ* switch can be the most frequent switching event, such as when the active VSG mRNA is depleted by RNA interference (RNAi) [\(44\)](#page-8-18), when *T. brucei* ORC1

(*Tb*ORC1) is depleted [\(45\)](#page-8-19), and when switchers were selected from infected mice [\(46\)](#page-8-20).

Essentially all *VSG* genes have an invariant 14-bp (GATATATTT TAACA) motif in their $3'$ untranslated region (UTR) (3) . Fifty-four [\(3\)](#page-7-2) to 92% [\(47\)](#page-8-21) of *VSG* genes also are associated with upstream 70-bp repeats. In addition, ES-linked and minichromosome *VSG* genes are flanked with downstream telomere repeats. Such organization apparently facilitates DNA recombination between different *VSG* genes. DNA recombination-mediated VSG switching can occur in several ways. First, the active*VSG*gene can exchange places with a silent*VSG* gene in a different ES, resulting in telomere exchange (TE) (also referred to as crossover, or CO) switches [\(Fig. 2B\)](#page-2-1). In TE/CO switches, the upstream recombination site is located mostly in the 70-bp repeats. However, because all ES sequences are highly homologous [\(11\)](#page-7-10), the recombination site can be upstream of the 70-bp repeats.

Gene conversion (GC) is more frequent than CO in VSG switching events [\(48\)](#page-8-22). In this case, a silent *VSG* gene is copied into the active ES to replace the originally active*VSG*, which is lost after the switch, while the newly expressed *VSG* gene is duplicated [\(Fig.](#page-2-1) [2C\)](#page-2-1). The *VSG* donor in GC switches can originate from a silent ES, a minichromosome subtelomere, or a *VSG* gene array. However, ES-linked *VSG* genes appear to be preferably copied [\(48\)](#page-8-22). In this case, the upstream boundary of GC can be within the 70-bp repeats so that only the *VSG* gene and its adjacent sequences are involved, which is often referred to as *VSG* GC. GC also can involve a much larger portion of the ES, including markers upstream of the 70-bp repeats [\(49\)](#page-8-23), markers immediately downstream of the ES promoter [\(50](#page-8-24)[–](#page-8-25)[53\)](#page-8-26), and sometimes the ES

FIG 2 Major VSG switching pathways. (A) *In situ* switch. The originally active ES is silenced, while an originally silent ES is expressed. (B) In telomere exchange/crossover (TE/CO) switches, the active *VSG* and a silent *VSG* exchange places. A silent ES is depicted to participate in CO. However, a *VSG* gene at a minichromosome subtelomere theoretically can be involved in a TE/CO event as well. (C) In gene conversion (GC) switches, the originally active*VSG*gene is lost and an originally silent *VSG* gene is copied into the active ES. Top right, a silent ES-linked *VSG* serves as the GC donor; bottom left, a silent *VSG* gene at a minichromosome subtelomere serves as the GC donor; bottom right, one or several *VSG* gene(s) in a *VSG* gene array serve(s) as the GC donor. Both a break-induced replication (BIR) event that copies the whole telomeric region downstream of the *VSG* donor and a true GC event can occur when a silent ES-linked or a minichromosome subtelomeric *VSG* gene serves as the GC donor. When a *VSG* gene array serves as the donor, a mosaic *VSG* can be built from several silent *VSG* genes. TE/CO and GC switches are proposed to be initiated with breaks in the 70-bp repeats (shown as a red lightning bolt). Long red arrow, active ES promoter; short blue arrow, silent ES promoter; red, orange, purple, and pink three-dimensional (3D) arrows, *VSG* genes; blue 3D arrows, *ESAG* genes; green boxes with diagonal bars, 70-bp repeats; arrays of green arrowheads, telomere repeats; arrays of dark blue arrowheads, 177-bp repeats.

promoter itself [\(11\)](#page-7-10), which are termed ES GC. On the other hand, when a *VSG* gene on a minichromosome or in a gene array acts as the GC donor, the upstream boundary of GC is almost always in the 70-bp repeats. When the GC donor is from a *VSG* gene array, the downstream boundary of GC can extend to the 3' coding or noncoding parts of the *VSG* gene [\(Fig. 2C\)](#page-2-1) [\(54\)](#page-8-27). The downstream boundary of GC is less clear if an ES-linked or a minichromosome *VSG* is used as the GC donor. Because no telomere terminal marker is available, it is not known how often GC VSG switching is a true gene conversion event, in which only a short fragment downstream of the donor *VSG* gene is duplicated, and how often it is actually a break-induced replication (BIR) event in which all of the terminal portion of the chromosome downstream of the *VSG* donor is replicated [\(Fig. 2C\)](#page-2-1). GC appears to be the preferred mechanism of VSG switching [\(55\)](#page-8-28), particularly in several recent studies when the Lister 427 strain is used in *in vitro* VSG switching analysis [\(17,](#page-7-15) [45,](#page-8-19) [50](#page-8-24)[–](#page-8-25)[53,](#page-8-26) [56,](#page-8-29) [57\)](#page-8-30).

Several more complicated VSG switching events also have been observed. In one type of switch, the originally active ES is lost and a different ES is expressed, resulting in an ES loss coupled to an *in situ* switch. This event has been observed in several recent studies and appears to be quite frequent [\(45,](#page-8-19) [50](#page-8-24)[–](#page-8-25)[53\)](#page-8-26). In a similar situation, the originally active *VSG* gene (possibly with its adjacent sequences) is lost while a silent ES is expressed, resulting in a*VSG*loss coupled with *in situ* switch. Although this is observed in *in vitro* studies [\(52\)](#page-8-25), it appears to be a relatively rare event. In addition, usually at late stages of a *T. brucei* infection, segments of different *VSG* genes can be copied into the active ES, resulting in a novel mosaic *VSG* gene being expressed [\(Fig. 2C,](#page-2-1) bottom right) [\(58,](#page-9-0) [59\)](#page-9-1). Most TE/CO and GC VSG switches rely on *Tb*RAD51-mediated homologous recombination (HR) [\(46\)](#page-8-20). However, RAD51-independent microhomology-mediated end joining (MMEJ) also has been suggested to contribute to VSG switching [\(60\)](#page-9-2).

DSBs, DNA RECOMBINATION, AND VSG SWITCHING

DNA double-strand breaks (DSBs) are the most deleterious DNA damages, and they usually result from DNA replication fork stalling/collapse and ionizing irradiation, etc. [\(61,](#page-9-3) [62\)](#page-9-4). It is well known that two major pathways are involved in repair of DSBs: HR and nonhomologous end joining (NHEJ). HR-mediated DSB repair is more accurate but requires a donor with homologous sequence, such as the sister chromatid, after DNA replication. NHEJ is more error prone but more prevalent when homologous sequences are not available. HR appears to be much more frequent than NHEJ in yeast, but the reverse is true in most mammalian cells [\(63\)](#page-9-5). In *T. brucei*, no NHEJ events have been reported. However, MMEJ, an alternative NHEJ pathway, has been identified [\(60,](#page-9-2) [64](#page-9-6)[–](#page-9-7)[66\)](#page-9-8), but HR is much more efficient and frequent than MMEJ [\(67\)](#page-9-9).

When HR is necessary for proper chiasmata formation between homologous chromosomes during meiosis, DSBs are induced by the Spo11 nuclease [\(68\)](#page-9-10). A brief review of HR, NHEJ, and MMEJ mechanisms will show why DSBs are required for these DNA recombination processes (see below). In *T. brucei*, recent studies have revealed that DSBs are a natural trigger for VSG switching [\(17\)](#page-7-15), and the location of the DSB influences the choice of VSG switching mechanisms [\(69\)](#page-9-11). Apparently, induction and regulation of DSBs at subtelomeric regions in *T. brucei* are critical for proper VSG switching.

(i) HR and its roles in VSG switching. In HR-mediated DSB repair, the 5' end of the broken DNA first is cleaved by MRE11RAD50-XRS2 (yeast)/NBS1 (mammal) [\(70,](#page-9-12) [71\)](#page-9-13) and Sae2 (yeast)/ CtIP (mammal) and then processed more extensively by the 5'-3' exonuclease ExoI or the combined helicase/nuclease activities of Sgs1/Dna2 [\(72](#page-9-14)[–](#page-9-15)[75\)](#page-9-16) [\(Fig. 3A](#page-4-0) and [Table 1\)](#page-4-1). The exposed 3' singlestranded DNA then is bound by RPA (replication protein A) that removes DNA secondary structures [\(76\)](#page-9-17), and a number of mediators are necessary to displace RPA to promote subsequent binding of RAD51, a DNA-dependent ATPase, onto the 3' singlestranded DNA (ssDNA) to form nucleoprotein filaments [\(77\)](#page-9-18) [\(Fig. 3A\)](#page-4-0). In mammalian cells, BRCA2 is an important RAD51 mediator [\(78,](#page-9-19) [79\)](#page-9-20), while in yeast, RAD52 mediates most of the loading of RAD51 [\(80\)](#page-9-21) [\(Table 1\)](#page-4-1). The nucleoprotein filament then searches for homologous sequences, and RAD51 catalyzes the strand exchange [\(81\)](#page-9-22). The extended strand invasion intermediate has many potential outcomes, eventually resulting in NCO or CO [\(Fig. 3A\)](#page-4-0) [\(61\)](#page-9-3).

In mitotic cells, a primary pathway to generate NCOs is synthesis-dependent strand annealing (SDSA), where the newly synthesized DNA strand (according to the homologous sequence as a result from the strand invasion event) dissociates from the D-loop to anneal to the other DNA end [\(Fig. 3A\)](#page-4-0) [\(82](#page-9-23)[–](#page-9-24)[84\)](#page-9-25). Alternatively, the second end of the processed DSB can be captured by the D loop to form a double Holliday junction (dHJ), an important HR intermediate [\(85\)](#page-9-26). dHJ can be resolved to form NCO or CO depending on how the DNA strands are cleaved by resolvases [\(Fig.](#page-4-0) [3A,](#page-4-0) bottom) [\(86](#page-9-27)[–](#page-9-28)[89\)](#page-9-29). In mitotic cells, dHJs also can be dissolved by the branch migration and topoisomerase activity of the BLM $(Sgs1)/TOP3\alpha/RMI$ complex, which leads to NCO [\(Fig. 3A\)](#page-4-0) [\(90\)](#page-9-30).

Homologous recombination is highly efficient in *T. brucei* [\(91](#page-9-31)[–](#page-9-32) [94\)](#page-9-33). It has been shown that a minimal 42 bp of homology is sufficient for HR-mediated DNA integration in insect-stage *T. brucei* cells [\(95\)](#page-9-34). In bloodstream-form *Tb*RAD51 wild-type (WT) cells, as little as 24 bp of homology is sufficient for HR-mediated targeting, although the efficiency (2.5×10^{-7}) is 4- to 5-fold lower than targeting with homologous sequence of 200 to 300 bp [\(64\)](#page-9-6).

Several RAD51 paralogs have been identified in vertebrate cells, including RAD51B, RAD51C, RAD51D, XRCC2, and XRCC3, which are bona fide HR factors and are required for HRmediated DNA damage repair [\(96\)](#page-9-35). Sequence identities between RAD51 and its paralogs are 20 to 30%, and the conserved sequences are primarily in the Walker A and B domains that are essential for their DNA binding activities [\(97\)](#page-9-36). The functions of these paralogs are not well known, but they appear to function as RAD51 mediators and facilitate RAD51 assembly at DSB sites, as their deficiency prevents RAD51 focus formation even after ionizing radiation [\(96\)](#page-9-35).

(ii) MMEJ may be an important mechanism of VSG switching. NHEJ includes the classical NHEJ (cNHEJ) and MMEJ (also known as alternative NHEJ, or aNHEJ) [\(98,](#page-9-37) [99\)](#page-10-0). NHEJ is the preferred pathway to HR in vertebrate cells throughout the cell cycle [\(63\)](#page-9-5). The Ku70/80 dimer, DNA-PKcs, and the DNA ligase complexXRCC4-ligase IV-XLF (XRCC4-like factor) are the core components of cNHEJ [\(98\)](#page-9-37).

cNHEJ often causes short deletions and insertions at the junctions, while MMEJ appears to be more error prone than cNHEJ and often leads to chromosome translocations [\(100\)](#page-10-1). As a result of MMEJ, DNA junctions often have large deletions, microhomologies, or occasional insertions of large DNA segments of unknown origin, although none of these features is invariably present [\(98\)](#page-9-37). In MMEJ, MRE11 and CtIP are involved in end resection

 \blacktriangleright resolvase cleavage site

FIG 3 Schematic diagram of HR and MMEJ pathways. (A) Mechanisms of HR. DNA 5' ends at a DSB site initially are processed by the MRX complex and Sae2 nucleases, followed by further resection by ExoI and Sgs1/Dna2. The resulting single-stranded 3' ends then are bound by RPA. With the help of RAD51 mediators, RAD51 displaces RPA on the single-stranded DNA. Subsequently, RAD51 mediates homology search, strand invasion, and D-loop formation steps. (Bottom left) Synthesis-dependent strand annealing leads to noncrossover products. (Bottom middle) Double Holliday junction (dHJ) can lead to either noncrossover or crossover products depending on resolvase cleavage sites (shown as red arrowheads). (Bottom right) Branch migration mediated by the BLM-Topo3 α -RMI complex also can resolve dHJ into noncrossover products. (B) A current model of MMEJ. DNA ends at the DSB site also are processed by MRX and Sae2 nucleases in MMEJ. Subsequently, Rad52 or Rad59 help DNA ends search and anneal at preexisting microhomologies. Ligase 3 finishes the ligation of the broken ends in MMEJ. Yeast and mammalian homologues of different nucleases and Rad51 mediators are listed in [Table 1.](#page-4-1)

TABLE 1 List of yeast and mammalian homologs of HR players

[\(101](#page-10-2)[–](#page-10-3)[105\)](#page-10-4), Rad52 or Rad59 is involved in annealing of DNA ends with microhomologies [\(106,](#page-10-5) [107\)](#page-10-6), and DNA ligase III appears to promote the DNA ligation [\(108](#page-10-7)[–](#page-10-8)[110\)](#page-10-9) [\(Fig. 3B\)](#page-4-0). Recent studies also suggest the existence of an additional alternative end-joining pathway that relies on ligase I and is independent of preexisting microhomologies in mammalian cells [\(99\)](#page-10-0). However, whether this pathway is conserved in all eukaryotic cells is unknown.

In *T. brucei*, after introducing a chromosomal DSB, most DNA damage repairs were mediated by allelic HR (85% of all repaired events) and the rest can occur through ectopic HR and MMEJ

[\(66\)](#page-9-8). In contrast, cNHEJ events have never been reported in *T. brucei*. Although *T. brucei* has Ku70/80 homologues [\(111,](#page-10-10) [112\)](#page-10-11), DNA ligase IV and XRCC4 homologs are missing [\(65\)](#page-9-7), indicating that cNHEJ is either absent or mechanistically divergent in *T. brucei*.

In *T. brucei*, MMEJ initially was observed as a subsidiary *Tb*RAD51-independent pathway that mediates the integration of transformed DNA into the genome [\(64\)](#page-9-6). As short as 3 to 7 bp of sequence homology with base mismatches, insertions, and deletions is enough to mediate MMEJ, and 11 to 74 bp of sequences was lost during the integration [\(64\)](#page-9-6). MMEJ events also were observed when *T. brucei* cell extract was used to join ends of linear DNA molecules *in vitro*, which is mediated by a microhomology of 6 to 16 bp long, often with at least one mismatched base [\(65\)](#page-9-7). MMEJ is independent of either *Tb*RAD51 or Ku [\(65\)](#page-9-7), indicating that it is similar to the MMEJ observed in yeast and mammalian cells [\(98\)](#page-9-37).

By targeting an I-SceI site into the *T. brucei* genome and inducing I-SceI expression, Glover et al. examined repair products of a specific chromosomal DSB and identified that a small fraction $(\sim]10\%)$ of DNA damage repair occurred through MMEJ (11 to 13 bp of homology), which resulted exclusively in intrachromosomal joining [\(66\)](#page-9-8). Using a negative selection, Glover et al. enriched the MMEJ-mediated DNA damage repair products [\(60\)](#page-9-2), among which both intra- and interchromosomal gene conversion products were identified. Careful examination of MMEJ products suggests that these resemble the micro-single-strand annealing (SSA) events (60) , which is the same as those observed in yeast [\(107\)](#page-10-6). Occasionally, products with one end repaired by HR and the other by MMEJ were observed which appear to be *Tb*RAD51 dependent [\(60\)](#page-9-2). Importantly, MMEJ has been observed in a subtelomeric ES, contributing to 25% of DSB repair events, while MMEJ represents only 5% of DSB repair at a chromosome internal locus [\(60\)](#page-9-2). Since VSG ESs are at subtelomeric regions that often lack allelic homologous sequences on the corresponding homologous chromosomes [\(2\)](#page-7-1), MMEJ has been proposed to be an important pathway for VSG switching [\(60\)](#page-9-2).

(iii) HR proteins that influence VSG switching in *T. brucei***.** A number of DNA repair proteins have been examined for their functions in VSG switching. So far, *Tb*RAD51 [\(46\)](#page-8-20), *Tb*RAD51-3 (a RAD51 paralog) [\(113\)](#page-10-12), $TbBRCA2$ [\(114\)](#page-10-13), and the $TOPO3\alpha/RM11$ complex [\(50,](#page-8-24) [51\)](#page-8-31) are required for normal VSG switching.

*Tb*RAD51 is not essential, but *Tb*RAD51 null cells are sensitive to the DNA damage reagent MMS [\(46\)](#page-8-20). Infecting preimmunized mice with a *T. brucei* strain containing antibiotic resistance markers in the active ES can yield switched trypanosome cells and allow estimation of VSG switching frequency. When *Tb*RAD51 doubleknockout (dko), single-knockout (sko), and WT cells were analyzed using this method, the VSG switching frequency was much lower (6- to 50-fold) in *Tb*RAD51 dko cells than in *Tb*RAD51 sko and WT cells, indicating that *Tb*RAD51 is an important player for VSG switching. Since *Tb*RAD51 is required for DNA recombination, it was expected that deletion of *Tb*RAD51 would mostly reduce HR-mediated VSG switching events. However, loss of *Tb*RAD51 did not change the distribution of VSG switchers that arose from different pathways. In WT cells, $~60\%$ of switchers arose from *in situ* switch, while the rest arose from HR-mediated gene conversion. In *Tb*RAD51 null cells, ~51% of switchers were *in situ* switchers, while the rest were gene conversion products [\(46\)](#page-8-20). Since *Tb*RAD51 deletion did not abolish HR, it is speculated

that a *Tb*RAD51-independent pathway exists in *T. brucei*. In yeast, RAD51 and RAD50 mediate different HR events, particularly at the subtelomeric regions [\(115\)](#page-10-14). A *Tb*RAD50 homolog has been identified in the *T. brucei* genome, although no detailed characterization of this gene has been reported. It is possible that *Tb*RAD50 can mediate some *Tb*RAD51-independent DNA recombination events. In addition, MMEJ has been found to be *Tb*RAD51 independent [\(65\)](#page-9-7), which has been proposed to contribute to VSG switching [\(60\)](#page-9-2).

Besides *Tb*RAD51, the *T. brucei* genome encodes five other RAD51-related proteins: DMC1, *Tb*RAD51-3, *Tb*RAD51-4, *Tb*RAD51-5, and *Tb*RAD51-6 [\(113\)](#page-10-12). Among these, *Tb*RAD51-3 and *Tb*RAD51-5 are involved in DNA damage repair and HR and are required for DNA damage-induced *Tb*RAD51 subnuclear foci. This is similar to the situation in mammalian cells, where RAD51 paralogs are required for RAD51 focus formation in response to DNA damage [\(116\)](#page-10-15). However, only *Tb*RAD51-3 is involved in VSG switching, and *Tb*RAD51-3 null cells have \sim 10-fold lower VSG switching frequency than WT cells [\(113\)](#page-10-12). Similarly, *Tb*BRCA2, a mediator for RAD51 filament formation, also plays an important role in HR, and deletion of *Tb*BRCA2 leads to a 10-fold decrease in VSG switching frequency [\(114\)](#page-10-13). In particular, *Tb*BRCA2 has multiple BRC repeats at its N terminus, which are required for DNA damage-induced *Tb*RAD51 subnuclear foci [\(114\)](#page-10-13).

In yeast, the RecQ helicase Sgs1 forms a complex with a type IA topoisomerase, Top3, and RecQ-mediated genome instability 1 (RMI1) [\(117,](#page-10-16) [118\)](#page-10-17). In human cells, a conserved complex also exists containing BLM, Topo3 α , and BLAP75/18 (RMI1/2) [\(90,](#page-9-30) [119\)](#page-10-18). This RTR complex plays an important function in dissolution of the HR intermediates and double Holliday junctions, and it suppresses crossover in HR [\(120\)](#page-10-19). Using a *T. brucei* strain in which the active ES is marked with a *thymidine kinase* gene immediately upstream of the active *VSG* gene, Kim and Cross were able to negatively select VSG switchers by ganciclovir (GCV), a nucleoside analog, because *TK*-expressing *T. brucei* cells are sensitive to GCV [\(50\)](#page-8-24). The same strain also carries a *Blasticidin resistance* (*BSD*) marker immediately downstream of the active ES promoter. Therefore, by examination of antibiotic resistance phenotypes and genotypes of *BSD* and the originally active *VSG* gene, it is possible to determine the VSG switching pathway. Using this method, it was shown that *T. brucei* $\text{Topo3}\alpha$ deletion led to a more than 10-fold increase in VSG switching frequency, which is dependent on *TbRAD51* [\(50\)](#page-8-24). In addition, VSG GC events were most frequent in $\text{Topo3}\alpha$ null cells [\(50\)](#page-8-24). Similarly, deletion of *TbRMI1* also leads to a 5-fold increase in VSG switching frequency, and most VSG switchers arose through VSG GC [\(51\)](#page-8-31).

Deletion of mismatch repair proteins does not affect VSG switching [\(67\)](#page-9-9). However, it is surprising that deletion of *Tb*MRE11 does not influence VSG switching frequency [\(121\)](#page-10-20), as the MRN complex is required for processing broken DNA ends in both HR [\(70,](#page-9-12) [71\)](#page-9-13) and MMEJ [\(101,](#page-10-2) [103,](#page-10-21) [104\)](#page-10-3). It is possible that additional nucleases are available in *T. brucei* for DNA end processing.

(iv) DSBs are a key for initiation of VSG switching. HR-mediated VSG switching is the most frequent event in many switching assays [\(17,](#page-7-15) [45,](#page-8-19) [48,](#page-8-22) [50](#page-8-24)[-](#page-8-25)[53,](#page-8-26) [55\)](#page-8-28), and HR initiates with DSBs. Therefore, DSBs in ES have long been proposed to be the first step of VSG switching [\(122,](#page-10-22) [123\)](#page-10-23). Indeed, inducing an I-SceI-generated DSB adjacent to the 70-bp repeats and immediately upstream

of the active *VSG* gene leads to an \sim 250-fold increase in VSG switching frequency [\(17\)](#page-7-15). In addition, DSBs can be detected in subtelomeric regions in both the active and silent ESs in WT cells [\(17,](#page-7-15) [52,](#page-8-25) [69\)](#page-9-11). In particular, using ligation-mediated PCR (LMPCR) analysis, more DSBs with staggered ends were detected in the 70-bp repeats in the active ES than in a silent one [\(17\)](#page-7-15). These observationssuggest that VSG switching initiates with DSBs in the active ES.

Although DSBs are required for HR-mediated VSG switching, not all DSBs in ESs induce VSG switching with equal efficiency, and not all DSBs leads to DNA recombination-mediated VSG switching [\(69\)](#page-9-11). Specifically, very few survivors (8%) were VSG switchers if the DSB is at the active ES promoter region, and only 28% of the survivors were VSG switchers if the DSB is downstream of the active *VSG* gene [\(69\)](#page-9-11). Particularly in the latter case, many switchers have lost their originally active ES and survived after an *in situ* switch, indicating that DSBs also can induce transcriptionmediated VSG switching.

In contrast, DSBs introduced immediately upstream of the active *VSG* gene and next to the 70-bp repeats is most efficient at inducing VSG switching; all survivors are VSG switchers [\(69\)](#page-9-11). Therefore, the 70-bp repeats upstream of the active *VSG* gene appear to be a hot spot for VSG switching-inducing DSBs. Several possibilities have been proposed as to why 70-bp repeats frequently have DSBs: they consist of a large number of TTA repeats that are known to be unstable for plasmids [\(59\)](#page-9-1), and transcription through these repeats makes the active ES unstable; the repetitive sequence may form an unusual structure that is difficult to be replicated, and they may be digested by a special *T. brucei* endonuclease [\(1,](#page-7-0) [17\)](#page-7-15).

TELOMERES INFLUENCE VSG SWITCHING

Since a considerable amount of DSBs can be detected at subtelomeric regions, it has been proposed that subtelomeres are fragile sites in *T. brucei* [\(69\)](#page-9-11), which presumably facilitates VSG switching and contributes positively to antigenic variation. However, *T. brucei*, like any other eukaryotic organism, needs to maintain a stable genome. Therefore, it is a delicate task to balance between telomere/subtelomere stability and plasticity in *T. brucei*.

It is well known that telomere proteins play important roles in maintaining chromosome stability and genome integrity [\(124\)](#page-10-24). Our recent studies showed that *Tb*TIF2, a telomeric protein, indeed is essential for maintaining subtelomere integrity and reducing DSB amounts at subtelomeres [\(52\)](#page-8-25). Consequently, a transient depletion of *Tb*TIF2 led to increased VSG switching frequency, with the majority of switchers arising through ES GC or ES loss coupled with *in situ* switches [\(52\)](#page-8-25). The *Tb*TIF2 deficiency-induced DSBs appear to be repaired by *Tb*RAD51, as deletion of *Tb*RAD51 and depletion of *Tb*TIF2 concurrently resulted in a much higher level of DSBs. Most interestingly, deletion of *Tb*RAD51 increased the *Tb*TIF2 deficiency-induced DSBs in the active ES much more strongly than in silent ESs, suggesting that WT *Tb*RAD51 preferably repairs DSBs in the active ES [\(52\)](#page-8-25). This may explain why introducing DSBs in silent ESs seldom leads to VSG switching [\(69\)](#page-9-11). It is possible that the ends of DSBs in silent ESs are not processed, so fewer DSBs with staggered ends are detected in silent 70-bp repeats than in active ones [\(17\)](#page-7-15). Why are DSBs in the active ES and silent ones not treated the same? One possibility is that the chromatin structure in the two types of ESs is very different: the

active ES is largely depleted of nucleosomes, while the silent ones are packed with nucleosomes [\(27,](#page-8-6) [28\)](#page-8-32).

How does a protein associating with the telomere influence switching of VSGs at subtelomeres? We found that *Tb*TIF2 influences VSG switching by reducing the amount of DSBs in subtelomeric regions, including both active and silent ESs [\(52\)](#page-8-25). What could be the underlying mechanism of *Tb*TIF2 in subtelomere integrity maintenance? We anticipate two most likely possibilities. First, telomere proteins have been shown to be important for telomere DNA replication in yeast and vertebrate cells [\(125](#page-10-25)[–](#page-10-26)[128\)](#page-10-27). Loss of *Tb*TIF2 may induce more replication fork stalling at telomeric and subtelomeric regions. The increased topological stress may lead to elevated DSBs in subtelomeric ESs. Second, several telomere proteins, including the *Tb*TIF2 homolog TIN2, are important for telomere cohesion and sister telomere pairing [\(129,](#page-10-28) [130\)](#page-10-29). *Tb*TIF2 may have a similar function, and loss of *Tb*TIF2 may lead to premature dissociation of sister telomeres. In this case, subtelomeric DSBs may not be efficiently repaired when the sister homolog is not available.

Independent of *Tb*TIF2's function in subtelomere integrity maintenance, it is possible that loss of *Tb*TIF2 destabilizes the telomere structure and leads to chromosome end-to-end fusions, similar to that observed in mammalian cells with telomere dysfunctions [\(131\)](#page-10-30). In support of this idea, transient depletion of the duplex telomere DNA binding factor, *Tb*TRF, also led to a significant increase in VSG switching frequency [\(53\)](#page-8-26). In addition, the DNA binding activity of *Tb*TRF is required for its role in suppression of VSG switching [\(53\)](#page-8-26). Loss of mammalian TRF2, the homolog of *Tb*TRF, led to chromosome end-to-end fusions [\(132\)](#page-10-31). Therefore, it is possible that loss of *Tb*TRF results in similar defects. Dicentric chromosome-induced breakage-fusion-bridge cycles often result in the loss of large regions of terminal chromosomes [\(133\)](#page-10-32), which can lead to increased VSG switching [\(69\)](#page-9-11). Although chromosome end fusions have not been identified in *T. brucei*, this could be simply because of the insensitivity of currently available tools. NHEJ events have not been observed in *T. brucei*, and XRCC4 and ligase IV homologs are absent from the *T. brucei* genome [\(65\)](#page-9-7); however, it is possible that telomere fusion occurs through MMEJ. Interestingly, *Tb*TIF2 and *Tb*TRF interact strongly [\(52\)](#page-8-25), and transient induction of *Tb*TRF and *Tb*TIF2 RNAi lead to similarly increased VSG switching frequency, with most switchers arising from ES GC and ES loss coupled with *in situ* switches [\(52,](#page-8-25) [53\)](#page-8-26). Therefore, it is possible that both proteins function in the same pathway in suppression of VSG switching. However, whether depletion of *Tb*TRF also leads to increased subtelomeric DSB amounts is unknown, and more genetic analysis is necessary before a conclusion is drawn on whether *Tb*TIF2 and *Tb*TRF function in the same genetic pathway in VSG switching regulation.

CONCLUSIONS AND PERSPECTIVES

Recent studies clearly showed that DSBs in the active ES, particularly those in and near 70-bp repeats, are a key factor that induces efficient VSG switching [\(17,](#page-7-15) [69\)](#page-9-11). In addition, we have identified at least one factor that influences the subtelomere DSB amount: telomere-associated *Tb*TIF2 [\(52\)](#page-8-25). However, exactly how *Tb*TIF2, as a telomere-specific protein, regulates the subtelomere integrity is still unknown. We have found that a second telomere protein, *TbTRF*, also is important for suppressing VSG switching [\(53\)](#page-8-26). However, the relationship between the functions of *Tb*TIF2 and *Tb*TRF still is unclear.

Importantly, we learned that DSBs in 70-bp repeats of the active ES induce efficient VSG switching [\(17,](#page-7-15) [69\)](#page-9-11), and DSBs naturally occur more frequently at subtelomeres than chromosome internal regions [\(69\)](#page-9-11). However, whether telomeres and transcription through the active ES are the only factors contributing to subtelomeric DSBs is not clear. Although DSBs in 70-bp repeats are critical for initiation of antigenic variation, maintaining 70-bp repeat stability also is important for maintaining a relatively stable genome and a*VSG*gene pool in *T. brucei*. However, how are 70-bp repeats maintained is completely unknown to us. Does any protein specifically bind the 70-bp repeats? The answer to this question no doubt would contribute greatly to our understanding of VSG switching regulation.

New molecular tools recently developed for *T. brucei* allowed us to examine in greater detail the HR and MMEJ events, their underlying mechanisms and players, their roles in VSG switching, and their regulation. Our knowledge about players in HR has been improved. However, MMEJ in *T. brucei* appears not to be completely conserved with that in vertebrates, and the key players in *T. brucei* MMEJ still are unknown. Since MMEJ has been proposed to be an important mechanism of VSG switching [\(60\)](#page-9-2), identifying key players in this pathway will contribute to our better understanding of VSG switching regulation.

We have shown that the telomere structure and telomere-associated proteins play important roles in VSG switching regulation [\(52,](#page-8-25) [53\)](#page-8-26). Similar to *T. brucei*, antigenic variation in several other microbial pathogens also relies on HR-mediated gene conversion, such as switching of the major surface glycoprotein (MSG) in *Pneumocystis jirovecii* that causes pneumonia [\(134,](#page-10-33) [135\)](#page-10-34) and switching of the VlsE variant surface protein in *Borrelia burgdorferi* that causes Lyme disease [\(136,](#page-10-35) [137\)](#page-10-36). However, molecular tools for studying both *P. jirovecii* and *B. burgdorferi* still are very limited. Therefore, studying DNA recombination-mediated antigenic variation and its regulation by telomeres in *T. brucei* also serves as a good model for understanding similar processes in other microbial pathogens.

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