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# **AUTOMATIC UTILITY METER READING**

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**Bachelor of Science in Electrical Engineering**

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July, 2007

Submitted in partial fulfillment of the requirements for the degree

**MASTER OF SCIENCE IN ELECTRICAL ENGINEERING**

at the

**CLEVELAND STATE UNIVERSITY**

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and the College of Graduate Studies by

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# **AUTOMATIC UTILITY METER READING**

**KAICHENG XIE**

## **ABSTRACT**

This thesis discusses research in the automation of reading a utility meter. The hardware required is a wireless camera pointed at the meter to be read. This meter can be a water, electricity or gas meter. After the wireless camera collects the image from the utility meter it transmits the image to a computer through a receiver. The computer analyzes the image with pattern recognition technology to obtain the numbers. We use image processing techniques in this thesis, including image preprocessing, image segmentation (Otsu threshold method), and number recognition. Finally we create a graphical user interface using Visual C#<sup>®</sup>.

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## ACRONYMS

**AMR** automatic meter reading

**PR** pattern recognition

**OTM** Otsu threshold method

**IP** image processing

**NR** number recognition

**B&W** black and white

# **CHAPTER I**

## **INTRODUCTION**

### **1.1 Background**

The purpose of this thesis is to report on research to automatically read a utility meter. Automatic meter reading (AMR) is used to collect the image or data from a gas, electric or water meter and then transfer the information to a base station [17]. We then use recognition technology to analyze the data to get the information that we are interested in [30]. The motivation for this thesis is that AMR can let energy companies keep track of the consumption of a utility meter remotely without sending a utility employee to collect data, which should save money for the companies [7]. Besides,

from the data in Figure 1, we believe that if a customer knows the utility amount they consume they can make better use of the energy and save money as well. In order to realize this goal we use a method called pattern recognition in this thesis.

Pattern recognition is a technology which includes face, voice, and fingerprint recognition [12]. Today it is being applied to more and more fields than ever before, and we use number recognition in this thesis. Number recognition has been widely used in identification, asset management, highway toll management, access management, and pet management just to name a few. It can also be applied to business management by increasing operational efficiency to reduce labor costs [23].

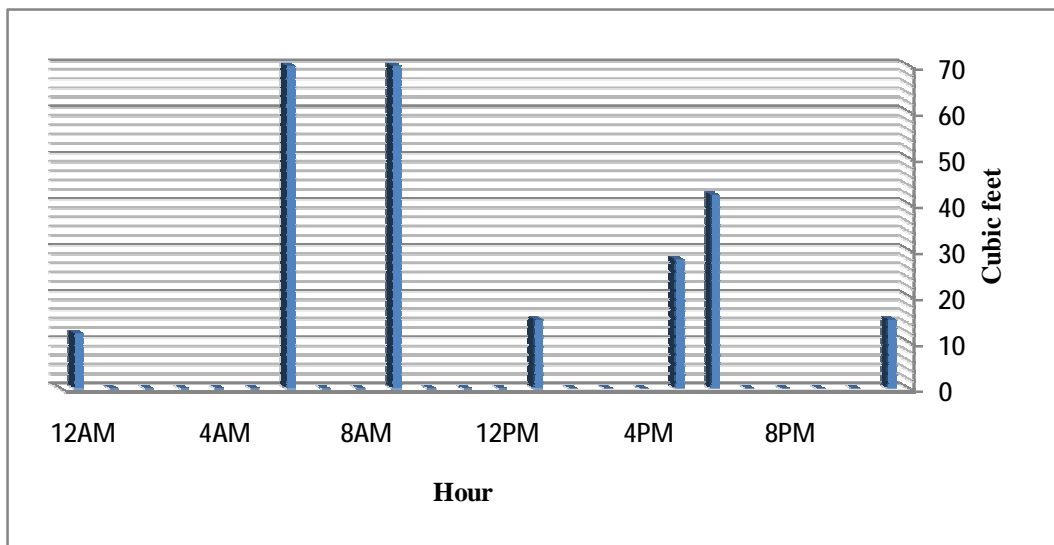


Figure 1 Graph from a residential gas customer showing one day's usage at 60 minute intervals

## 1.2 Introduction to automatic meter reading system

Automatic meter reading combines the mechanical rotary-type counter with its related technologies, such as advanced control, wireless digital communication, sensor, embedded system, and database management system. It displays the amount of utility that has been consumed [10].

The system has completely changed the old tradition where the energy company sends a utility employee to collect data from a meter that is located on a customer's property. The data collected tells the utility company how much of the utility the customer consumed in a certain period of time. This system would automatically collect data from a meter remotely and then transfer the data to a database, which results in a bill to a customer. Thereby it saves a lot of manpower which in turn would save money for the company that then can pass the savings on to the customers [10].

Figure 2 shows a typical utility meter.



Figure 2 Typical US domestic analog electricity meter, public domain image taken from [http://en.wikipedia.org/wiki/Electricity\\_meter](http://en.wikipedia.org/wiki/Electricity_meter)



### **1.3 Brief history**

During the 1970's an inventor named Theodore Paraskevakos invented a system to monitor security, fire, medical and utilities by digital transmission. This technology later developed into a new technology known as caller id [1].

In the late 1970's he established a new company. Here is where Paraskevakos developed and produced the first fully automated remote meter reader. This system was created before the internet was developed into the technological marvel that it now is. The meter reader was created and developed based on an IBM series 1 mini-computer [1].

One of the biggest reasons for the push of using the new technology is not just for reducing labor costs but to obtain data that is sometimes not attainable by other methods. Many utility meters are located in an area that is not easily accessible without an appointment with the property owner. Today, with inflation out of control and commodities becoming more and more scarce, utility companies can no longer just estimate the usage of these commodities. This is another reason that utility companies are changing over to automate the task of meter reading. This will be totally different from the old method of walk-by for residential customers. Another method used in the old system was by using the telephone system to retrieve information from commercial and industrial customers. Now with the new system

reading the meters can be done as often as once per hour rather than once per month. The sales of drive-by and telephone meters have declined dramatically in the U.S., and the sales of fixed network (an AMR method permanently installed to capture meter readings) have increased [1].

AMR has numerous benefits over manual reading and some of the most important benefits include: (1) accurate meter reading; (2) energy management through data graphs; (3) low cost; (4) reliable data transmission; (5) improved billing; (6) security for premises; (7) less financial burden correcting mistakes; (8) less time to obtain meter readings [37].

## **1.4 Contribution and organization of the thesis**

A new AMR method is presented in this thesis. The contribution of the thesis is to use a wireless camera, a receiver connected to a PC, and a user interface written in C#, to make an AMR using existing utility meters. This will limit the need for designing a new utility meter for AMR. The main goal of this thesis is aimed at helping energy companies save on labor costs. There are a few methods that we combine to automatically read the utility meter. Our design combines radio technology with a wireless camera to receive data and transfer it to our base station,

which is a computer, to analyze it. The biggest advantage of our design is its simplicity and inexpensiveness and the disadvantage is that this new AMR method is sensitive to the environment (e.g., light intensity). In Chapter 6 we discuss how the new AMR technology helps energy companies save money, as well as give some suggestions to improve our design. There are several main methods or concepts in this thesis. They are image processing, number recognition (pattern recognition), and AMR.

The thesis is organized into six chapters. We mainly focus on two concepts in the thesis: pattern recognition and image processing. We do not discuss the computer network technology of AMR to any great extent.

In Chapter 1, we mention the importance of AMR and some basic concepts related to it. In Chapter 2 we talk about pattern recognition, which is used to recognize the number on the meter. We introduce the concept of pattern recognition, some preprocessing steps of recognition, and how to design a classifier (simply speaking, we can regard a classifier as a black box [12], to which we input some data and then it tells us the results). We also discuss some important factors of pattern recognition.

We discuss image processing in Chapter 3. This part is very significant to the whole. Since the main goal of the thesis is to recognize the number [12], we discuss image processing and an algorithm called the Otsu threshold method in this chapter.

The main point of Chapter 4 is the hardware and the software. We discuss the hardware used in the thesis and explain how to use the software as well.

In the last two chapters, we present the experimental results of the research and some details about it, and we also explain every step of the experiments in detail.

Finally, we summarize the whole thesis and give some suggestions for future work.

## **CHAPTER II**

### **PATTERN RECOGNITION**

There are a few technologies discussed in this thesis, but the core technology of our thesis is pattern recognition. Other technologies are also important in AMR, such as networks, management technology, etc. However the focus of this thesis is pattern recognition and that is what we primarily discuss in the following.

#### **2.1 Basic concept of pattern recognition**

Pattern recognition, which is also called machine recognition, computer recognition or automatic recognition, allows a machine to identify things automatically.

For example, the purpose of handwritten number recognition is to assign handwritten numbers to certain number categories; the task of an intelligent traffic management system is to determine whether there are cars running red traffic lights, and to record the license plates of vehicles running them. Pattern recognition allows the machine to accomplish things previously only done by humans, and it enables phenomena analysis, description and judgment. Pattern recognition is intuitive and ubiquitous. For example, human beings are engaged in the activities of pattern recognition in every aspect of their daily lives. It is relatively easy for humans and animals to recognize things, but it is very difficult for a computer. In order to let the computer identify and classify an object, we need to develop methods of identification, which is the task of pattern recognition.

The purpose of pattern recognition is to use a computer to classify physical objects and make the result as close to the object as possible with the least error. The basic method used in recognition is calculation, and pattern recognition calculates similarity between the object being recognized and a standard template. For example, in order to identify a handwritten digit, we compare it with a template digit from zero to nine to see which template is the closest to it.

### 2.1.1 The description of a pattern

In pattern recognition technology, each object being observed is called a sample. For example, in handwritten numeral recognition, each handwritten digit can be used as a sample. If we need to recognize  $N$  digits, we have  $N$  samples  $(X_1, X_2, X_3, X_4, \dots, X_N)$ .

For a certain sample, it is very important to choose the relevant factors for recognition, and each of these factors is called a feature. The pattern can be represented by a set of features and this set of features we form an eigenvector called a feature vector. Generally, we use lowercase variable names to represent each feature. If a sample  $X$  has  $n$  features we regard  $X$  as an  $n$  dimensional vector. The vector  $X$  consists of feature vectors, denoted by [12]

$$X = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ \vdots \\ x_n \end{bmatrix} = (x_1, x_2, x_3, \dots, x_n)^T \quad (2.1)$$

If we have a total of  $N$  samples, and each sample has  $n$  features, we can form a matrix of  $n$  rows and  $N$  columns with these values [12]:

$$\begin{matrix}
 x_{11} & x_{12} & \cdots & x_{1j} & \cdots & x_{1N} \\
 x_{21} & x_{22} & \cdots & x_{2j} & \cdots & x_{2N} \\
 \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
 x_{i1} & x_{i2} & \cdots & x_{ij} & \cdots & x_{iN} \\
 \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
 x_{n1} & x_{n2} & \cdots & x_{nj} & \cdots & x_{nN}
 \end{matrix}
 \tag{2.2}$$

### 2.1.2 Pattern recognition system

A typical pattern recognition system is made up of data mining, preprocessing, feature extraction, feature clustering, and a classifier. Figure 3 depicts pattern recognition in the form of a flow chart.

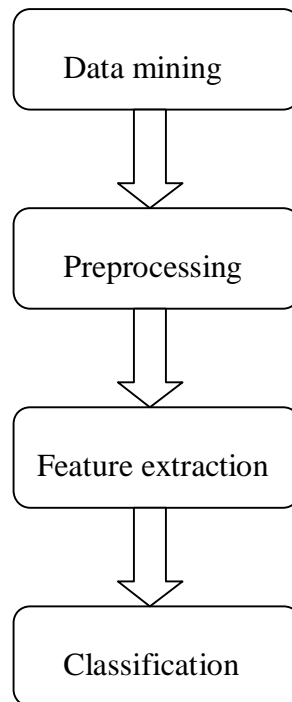


Figure 3 Component units of a pattern recognition system



The first step is data mining. There are several data types that computers can use to represent the study of objects: for example, a one-dimensional wave such as electroencephalography and electrocardiography; a two-dimensional image such as text, fingerprinting, maps, and photos; and physical parameters and logical values such as body temperature and laboratory data.

The second step is preprocessing which includes recovery, noise cancelling, and extracting useful information from the input data. This step is used to acquire information useful for recognition.

The third step is feature extraction and selection. This step is used to obtain the features from the original data to represent the basic characteristics of an object.

The last and most important step is to design a classifier. The first step of this design is to choose a cost function; the second step is to enhance it by checking its error based on a sample training set. Overall, the main purpose of pattern recognition in this thesis is to use a computer to recognize and classify samples [12].

### **2.1.3 Statistical pattern recognition**

The research on statistical pattern recognition includes feature selection, optimization, classification, and clustering. It is very important to choose the appropriate feature space to design a pattern recognition system. There are two basic

methods to optimize the choice of the feature space. One is feature selection and the other is feature optimization. For example, if we want to identify oranges and apples, we can select a feature by distinguishing them by shape or color, and then we still can optimize the feature. As long as we observe them carefully then it's not hard to find out there is an easier way to tell the difference between apples and oranges by their color than their shapes.

If we assume that we know some samples and their features, then one classification problem is to recognize the Arabic numerals from zero to nine by comparing them to a known sample in the database. By recognizing these digits, the system must “know” the shape feature of each handwritten digit. One problem that exists is that different people have different ways of writing each digit. Even the same people may have different ways of writing the same digit. In this case we should let the system know what category the digit belongs to. Therefore we should establish a sample database.

## **2.2 Classifier design**

The classification problem in pattern recognition is to assign an object into different categories according to its observation value [42]. The steps are listed as

follows: (1) We establish a training set in feature space. (2) We suppose that we already know every point's type in the training set so that we may find the optimal cost function or approach for pattern recognition. (3) After we choose an approach or a cost function, then we can determine parameters according to those conditions. The following sections discuss two separate approaches to classification.

### **2.2.1 Template matching**

This method is based on the concept of similarity which is the simplest and most straightforward method. In this method, we compare a pattern being classified with the standard template to see which pattern has the highest similarity. For example, *A* class has 10 training samples, thus it has 10 templates, while *B* class has 15 training samples which means it has 15 templates. Then we try to classify the testing sample by calculating the similarities between it and the 25 templates. If we find out it is similar with one template in *A* class we confirm that the testing sample is *A* class, otherwise it is *B* class. Theoretically the template matching approach is the simplest but its biggest flaw is the large amount of calculation and large amount of storage needed. We need to store quite a lot of templates and we need to compare each one many times, and this leads to a lot of computation time.

### **2.2.2 Artificial neural networks**

Training and machine learning is a scientific discipline that tries to find the optimal solution for some mathematical formula based on a training set. This optimal solution allows a classifier to obtain a group of parameters [25], and with this group of parameters we can use the classifier to achieve the best performance.

When we talk about training or machine learning, we are likely to refer to several concepts. The first one is a training set which is a known sample set. Like its name, we use this known set to train a classifier. The second one is a test set which is an independent sample set that has not been used during the design of the pattern recognition system [25].

An artificial neural network is a mathematical model or computational model that serves to imitate the way people think, and it is a non-linear and adaptive dynamic system that changes its structure based on external or internal information. It consists of an interconnected group of artificial neurons and processes information using a connectionist approach to computation. Overall, a neural network satisfies many desired features such as parallel processing, continuous adaption, fault tolerance, and self-organization [19]. Therefore we can use artificial neural networks to solve the non-normal distribution and non-linear problem effectively. Figure 4 shows the scheme of a neural network.

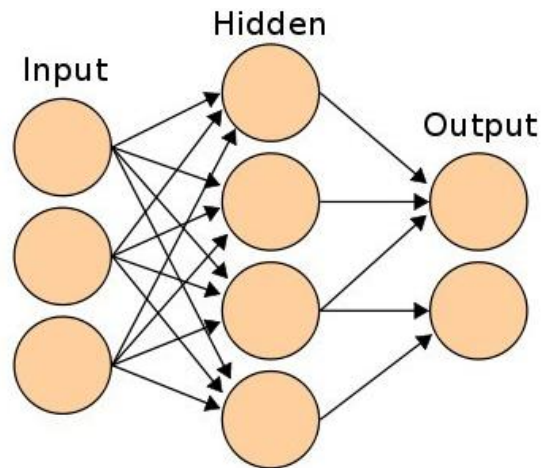


Figure 4 The basic structure of a neural network, public domain image taken from [http://en.wikipedia.org/wiki/Artificial\\_neural\\_network](http://en.wikipedia.org/wiki/Artificial_neural_network)

## 2.3 Feature recognition

In most cases, the input set is a multi-feature, high noise, and non-linear data set in practical applications. There are some influential factors that we should consider such as if the size of the training set is small. In this case we should use many features to train a classifier, which leads to increasing the complexity and calculation. Therefore, how to condense a high dimensional feature space becomes a big problem. Generally speaking, there are two approaches; one is feature selection, and the other is feature extraction.

### **2.3.1 Feature selection**

Feature selection in pattern recognition is an important issue. The amount of data that is obtained directly from the sample is usually very large [32]. For example, we can have hundreds of thousands of characteristics in a normal image and the amount of data of a satellite image is even more. In order to identify an object accurately we need to select its features carefully. Feature selection is to extract those features that can be distinguished from other features in the original data; therefore these features can represent the nature of objects. If we can find these different types of features from the input data we can simply translate pattern recognition to a look-up table problem, which makes the problem much easier.

To design a classifier is to choose the kind of features that describe an object, which is the same as choosing an appropriate feature space [42]. For example, color is a feature to distinguish between red and green lights, because one object is red and the other is green. We can easily tell the difference between a red light and a green light by color. But it is very difficult to distinguish a human face if we try to use color as a distinguishing feature.

We are often faced with keeping some data and abandoning others when we select features especially if a large number of features is available. Therefore, we have to choose features carefully which means that the feature size should not be too large or

too small. If the size is too large, it takes too much computation time; on the other hand if it is too small it may be very difficult to recognize the object. In either case feature selection is the process of choosing the most effective features from a set of features in order to achieve the purpose of reducing the feature space [38].

### **2.3.2 Feature extraction**

The definition of feature extraction is mapping or transforming a high dimensional feature vector into a low dimensional feature vector [38]. The feature vector obtained from feature extraction is a certain combination of the original feature set and the new feature vector that includes all the information in the original feature vector. Figure 5 shows the steps of feature selection and extraction.

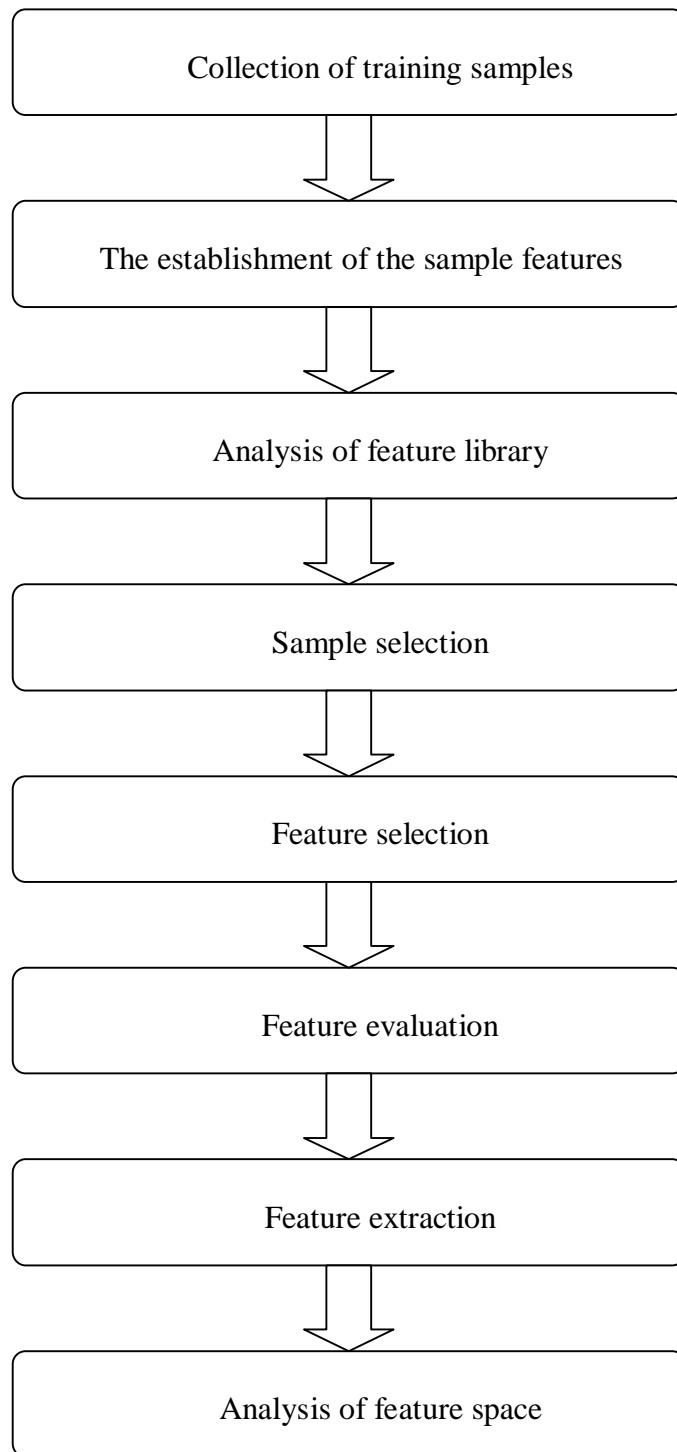


Figure 5 Feature selection and extraction



## 2.4 Numerical feature extraction and analysis

There are a few methods to extract numerical feature. Distance change method is shown in Figure 6. As the method's name implies, we keep track of the distance between the left border and the number. We know the shape of the number from the change of the distance [36].

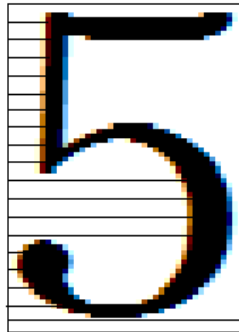


Figure 6 Distance change method

The other method used in this thesis is  $n \times m$  template extraction [36]. This method is shown in Figure 7. We divide the image into  $n \times m$  parts and each part has several pixels which makes it a “super” pixel. Therefore there are  $n \times m$  “super” pixels. The main idea of this method is to regard the image as an  $n \times m$  image, and then recognize it.

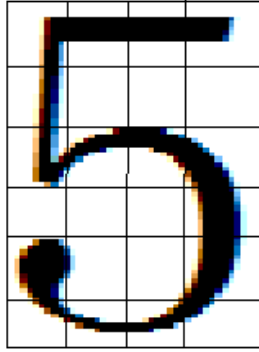


Figure 7  $n \times m$  template extraction method

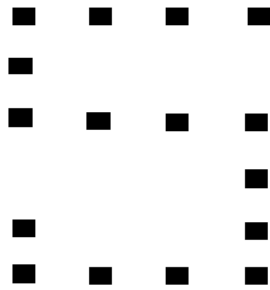


Figure 8  $n \times m$  pixels

Figure 8 shows  $n \times m$  super pixels. We first find the start position of each sample and then find out the height and width of the sample. Second, we divide the height into  $n$  parts and the width into  $m$  parts which makes an  $n \times m$  region. For each region we need to calculate the number of dark pixels in this area and then divide the number by area to obtain the feature value. The greater the  $n \times m$  value the more features, which also means the higher the recognition rate may be, but at the same time it is more likely to increase the calculation, the computation time, and the sample database size [36].

Generally speaking, the number of samples should be at least 10 times the number of features [26]. Let's suppose that we take  $n=6$  and  $m=4$ . This means that we need at

least  $4 \times 6 \times 10$  samples for each digit. Therefore we have total  $4 \times 6 \times 10 \times 10$  samples for all 10 digits, which is quite a large number.

The benefit of the  $n \times m$  template extraction method is that we can use it on different size numbers, which suggests that we can regard those samples with different sizes as the same type. We require that the height and width of the number should be at least four pixels, or it may be too small to recognize.

## **2.5 Pattern similarity measure**

The most basic research question of pattern recognition is the similarity measurement between two samples. We often use the nearest neighbor method to measure similarity, that is, we compare each pattern being recognized with standard samples to see which sample has the highest similarity. In principle, the nearest neighbor method is a template matching method. There are several approaches to measure similarity: Euclidean distance, Mahalanobis distance, cosine angle distance and Tanimoto measure of similarity [27].

### 2.5.1 The distance between two patterns

Suppose that we have two patterns  $X_i$  and  $X_j$  and their feature vectors are

$$X_i = \begin{bmatrix} x_{i1} \\ x_{i2} \\ \vdots \\ x_{in} \end{bmatrix} = (x_{i1}, x_{i2}, \dots, x_{in})^T \quad (2.3)$$

$$X_j = \begin{bmatrix} x_{j1} \\ x_{j2} \\ \vdots \\ x_{jn} \end{bmatrix} = (x_{j1}, x_{j2}, \dots, x_{jn})^T \quad (2.4)$$

and the Euclidean distance is given by [27]

$$\begin{aligned} D_{Eij}^2 &= (X_i - X_j)^T (X_i - X_j) = \|X_i - X_j\|^2 \\ &= \sum_{k=1}^n (x_{ik} - x_{jk})^2 \end{aligned} \quad (2.5)$$

If the  $D_{Eij}$  value is small then the distance between the two patterns is also small, which means the similarity is high.

We can also find the distance with the Mahalanobis distance given by [27]

$$D_{Mij}^2 = (X_i - X_j)^T S^{-1} (X_i - X_j) \quad (2.6)$$

with the covariance matrix

$$S = \frac{1}{N-1} \sum_{i=1}^N (X_i - \bar{X})(X_i - \bar{X})^T \quad (2.7)$$

$$\bar{X} = \frac{1}{N} \sum_{i=1}^N X_i \quad (2.8)$$

If the  $D_{Mij}$  value is small, then the distance between two patterns is also small, which means the similarity is high.

Another way of finding the distance is by the cosine angle distance given by the formula [27]

$$S_c(X_i, X_j) = \cos q_c = \frac{X_i^T X_j}{\|X_i\| \cdot \|X_j\|} \quad (2.9)$$

If  $S_c$  is large this means the similarity between two patterns is high.

If all the features in a vector are binary values, then we use the Tanimoto measure of similarity which is given by the formula [27]

$$S_T(X_i, X_j) = \cos q_T = \frac{X_i^T X_j}{X_i^T X_i + X_j^T X_j - X_i^T X_j} \quad (2.10)$$

If  $S_T$  is large this means the similarity between two patterns is high.

### 2.5.2 Template matching method

Suppose we have a template  $A$  and a template  $B$  and there are  $n$ -dimensional feature vectors which are given by  $X_A = (X_{A1}, X_{A2}, X_{A3}, \dots, X_{An})^T$  and  $X_B = (X_{B1}, X_{B2}, X_{B3}, \dots, X_{Bn})^T$ , and the pattern being recognized is  $X = (X_1, X_2, X_3, \dots, X_n)^T$ . Let's use the template

matching method to classify the pattern  $X$ . We assume that we have two classes. We know that the distance between arbitrary point  $X$  and arbitrary point  $Y$  is given by

$$D(X, Y) = \left[ \sum_{i=1}^n (x_i - y_i)^2 \right]^{\frac{1}{2}} \quad (2.11)$$

According to the Euclidean distance principle we can find out that

$$\begin{aligned} D(X, X_A) < D(X, X_B) &\Rightarrow X \in A \\ D(X, X_A) > D(X, X_B) &\Rightarrow X \in B \end{aligned} \quad (2.12)$$

Let us suppose that we have  $M$  classes given by  $\omega_1, \omega_2, \omega_3, \dots, \omega_M$ , and

$$X_i = \begin{bmatrix} x_{i1} \\ x_{i2} \\ x_{i3} \\ \vdots \\ x_{in} \end{bmatrix} \quad (2.13)$$

where  $X_i$  represent  $\omega_i$  and is a feature vector. This means we have

$$X = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ \vdots \\ x_n \end{bmatrix} \quad (2.14)$$

where  $X$  is the feature vector of the pattern being recognized. When we try to calculate the distance, if there exists an  $i$  that makes

$$D(X_i, X) < D(X_j, X), \quad j = 1, 2, \dots, M, \quad i \neq j \quad (2.15)$$

then  $X \in \omega_i$ .

The Euclidean distance between  $X$  and  $X_i$  is given by [27]

$$\begin{aligned} D(X, X_i) &= \|X - X_i\|^2 = (X - X_i)^T (X - X_i) \\ &= X^T X - X^T X_i - X_i^T X + X_i^T X_i \\ &= X^T X - (X^T X_i + X_i^T X - X_i^T X_i) \end{aligned} \tag{2.16}$$

## **CHAPTER III**

### **IMAGE PROCESSING**

Image processing is based on the principle of recognizing certain patterns within an image. We can then use the image processing technology to obtain the information that we are interested in. This thesis uses formulas to recognize certain patterns that exist within an image. Therefore we now discuss image processing.

#### **3.1 Image segmentation**

Image segmentation is the most basic and the most important task in the image processing system. The results of image segmentation affect feature extraction and



target recognition. A very practical approach to image segmentation is threshold segmentation because it is the fastest and easiest to implement. Most threshold segmentation methods are based on the one-dimensional gray level histogram which comes from a two-dimensional image.

In threshold segmentation we assume that there is a very clear gray-scale distribution between the objectives and the background. This means there are two or more peaks in the histogram. The task of threshold segmentation is to select the thresholds to separate these peaks. The result of the threshold segmentation is to assign a background color to those pixels which are greater than a certain threshold value and to assign a target color to those pixels which are less than the threshold value. There are two methods in threshold segmentation. One is the global threshold method and the other is the local threshold method. We can only use one threshold value in the global threshold method but we can use several threshold values in the local threshold method. In the local threshold method the whole image is first divided into many sub-blocks. We then use different threshold values for each of the individual blocks.

### **3.2 Image Histogram**

For an image with  $L$  levels of grayscale the number of pixels with a gray level

value  $k$  is determined by a discrete function [26]

$$p(f_k) = n_k / n, \quad k = 0, 1, 2, \dots, L-1 \quad (3.1)$$

In this function  $f$  indicates the  $k$ -th gray level,  $n_k$  is the number of pixels with gray level values  $f_k$ ,  $n$  is the total number of pixels, and  $L$  is the total number of gray levels in the image.

An image can contain a lot of information. How to extract information and find out the features of those images is important for image processing. The grayscale histogram shows the distribution of the gray within the image. That information is extremely important in image grayscale transformation and image processing.

Figure 9 shows a gray image and its grayscale histogram. We can then easily find out that the histogram is a two-dimensional graph and the graph is proportional to the probability mass function of the gray levels. Mathematically, it describes the statistical properties of the gray value of the image and it also shows the probability of each gray-level pixel that appears. In Figure 9 the  $x$ -axis shows the gray value which ranges from 0 to 255 and the  $y$ -axis represents the number of occurrences of that gray-level pixel.

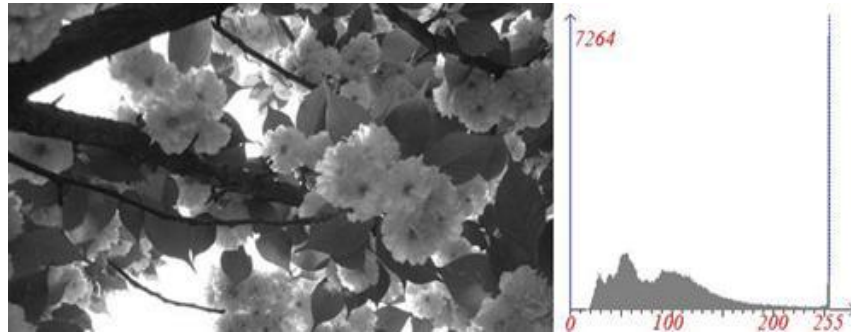


Figure 9 A gray image and its histogram, public domain image taken from <http://book.csdn.net/bookfiles/974/10097430283.shtml>.

Figures 10, 11, 12, and 13 show four images acquired from the same image and their grayscale histograms. They are high brightness, low brightness, high contrast, and low contrast. The right side of each image is its corresponding histogram.

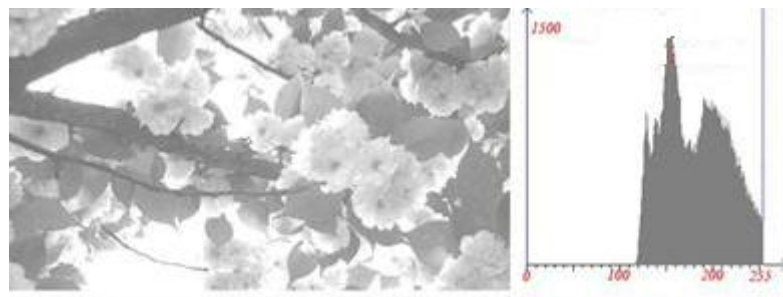


Figure 10 High brightness image and its histogram, public domain image taken from <http://book.csdn.net/bookfiles/974/10097430283.shtml>.

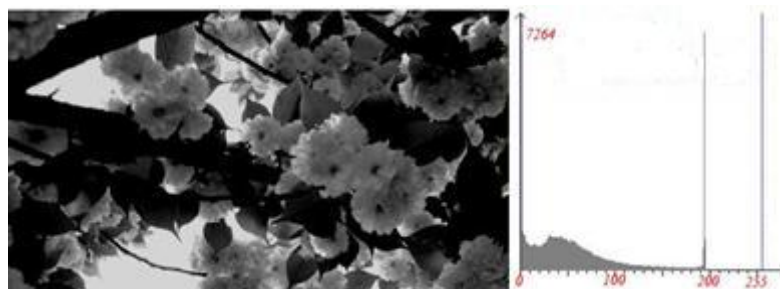


Figure 11 Low brightness image and its histogram, public domain image taken from <http://book.csdn.net/bookfiles/974/10097430283.shtml>



Figure 12 High contrast image and its histogram, public domain image taken from <http://book.csdn.net/bookfiles/974/10097430283.shtml>.

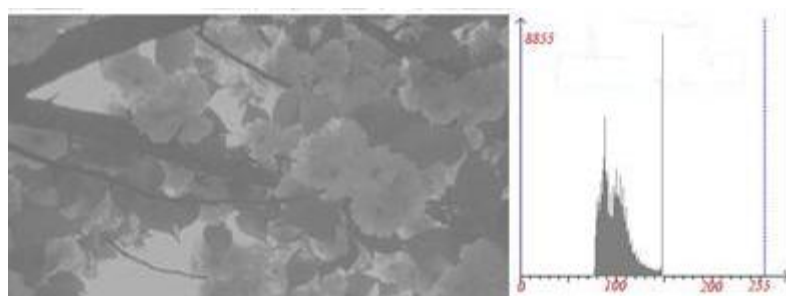


Figure 13 Low contrast image and its histogram, public domain image taken from <http://book.csdn.net/bookfiles/974/10097430283.shtml>.

The 8-bit gray scale represents 256 levels of gray. That is, the grayscale ranges from 0 to 255, where 0 is black and 255 is white. In the high brightness images gray-scale bars are located on the right side of the histogram, while the bars are located on the left side of the histogram in low brightness images.

In a high contrast image the gray bars cover a wide range and the distribution of gray-scale bars is more uniform than in other images. In a low contrast image, the gray-scale bars are mainly located in the middle of the histogram.

### **3.3 Otsu threshold method**

Maximum between-cluster variance, also known as Otsu method, was established by a Japanese scientist in 1979. It is used to automatically determine the threshold value on a histogram. It is actually a method to search for the threshold that minimizes the weighted within-class variance, and the variance here represents the difference between the foreground and the background [21].

This method divides the image into two parts, which are the foreground and background according to their gray-level and threshold value. The more the inter-class variance between the background and the objectives, the more the difference between the two parts of the image. The optimal threshold value is the value for which the difference between these two parts is greatest. The Otsu threshold method is very sensitive to noise and the size of the image. It produces better results when the graph of between-cluster variance is a single-peak graph. Figure 14 shows the image and its histogram with two peaks and Figure 15 shows the histogram with a single peak. The Otsu threshold method does not work well with the image in Figure 14.

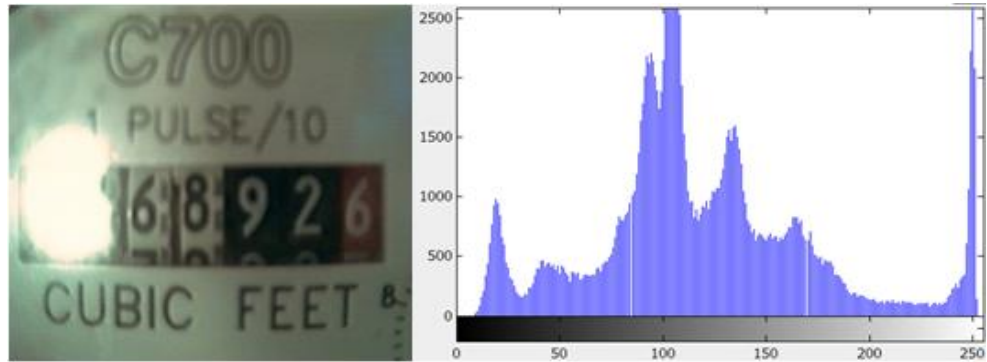


Figure 14 The histogram with two peaks

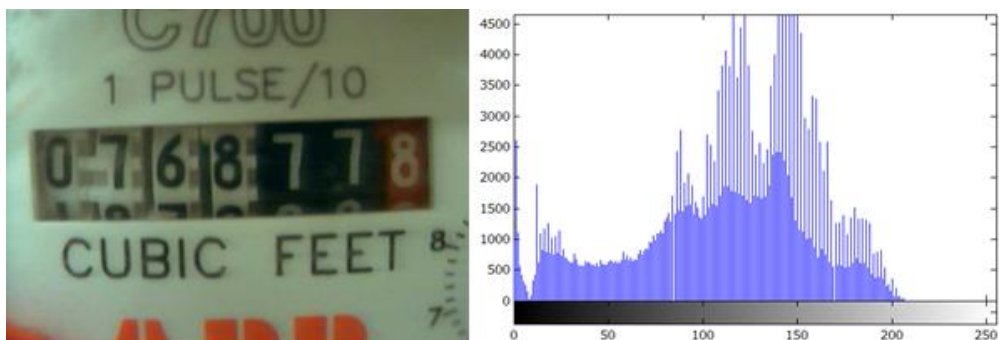


Figure 15 The histogram with a single peak

The Otsu threshold method is a global non-parametric, unsupervised, and automatic threshold selection algorithm. If there are  $N$  pixels in a two-dimensional gray image and the gray levels of those pixels are from 1 to  $L$  then the number of pixels at level  $i$  is denoted by  $n_i$  and the histogram of gray level is regarded as a distribution [33] given by

$$P_i = n_i / N, P_i \geq 0 \quad (3.2)$$

$$\sum_{i=1}^L P_i = 1 \quad (3.3)$$

Now suppose that we divide the pixels into class  $C_1$  and class  $C_2$  by the threshold

value  $k$ . If one of these classes is a background and the other one is an object then  $C_1$  has gray levels given by  $[1, \dots, k]$  and  $C_2$  has levels given by  $[k+1, \dots, L]$ . Then the probability distributions of the gray levels of these two classes are given by [33]

$$C_1 : w_1(k) = \sum_{i=1}^k P_i = w(k) \quad (3.4)$$

$$C_2 : w_2(k) = \sum_{i=k+1}^L P_i = 1 - w(k) \quad (3.5)$$

The mean gray levels for classes  $C_1$  and  $C_2$  are given by

$$m_1 = \sum_{i=1}^k i \Pr(i | C_1) = \sum_{i=1}^k \frac{iP_i}{w_1(k)} = \frac{m(k)}{w(k)} \quad (3.6)$$

$$m_2 = \sum_{i=k+1}^L i \Pr(i | C_2) = \sum_{i=k+1}^L \frac{iP_i}{w_2(k)} = \frac{m_L - m(k)}{1 - w(k)} \quad (3.7)$$

where

$$m(k) = \sum_{i=1}^k iP_i \quad (3.8)$$

$$m_L = m(L) = \sum_{i=1}^L iP_i \quad (3.9)$$

We can also easily verify the following relation

$$w_1 m_1 + w_2 m_2 = m_L \quad (3.10)$$

$$w_1 + w_2 = 1 \quad (3.11)$$

The class variances are given by [33]

$$S_1^2 = \sum_{i=1}^k (i - m_1)^2 \Pr(i | C_1) = \sum_{i=1}^k (i - m_1)^2 P_i / w_1 \quad (3.12)$$

$$S_2^2 = \sum_{i=1+k}^L (i - m_2)^2 \Pr(i | C_2) = \sum_{i=1+k}^L (i - m_2)^2 P_i / w_2 \quad (3.13)$$

We can use the Otsu discriminant analysis to define the between-class variance as [21]

$$\begin{aligned} S_B^2 &= w_1(m_1 - m_L)^2 + w_2(m_2 - m_L)^2 \\ &= w_1 m_1^2 - 2w_1 m_1 m_L + w_1 m_L^2 + w_2 m_2^2 - 2w_2 m_2 m_L + w_2 m_L^2 \\ &= w_1 m_1^2 + w_2 m_2^2 - 2m_L (w_1 m_1 + w_2 m_2) + (w_1 + w_2) m_L^2 \\ &= w_1 m_1^2 + w_2 m_2^2 - 2m_L^2 + m_L^2 \\ &= w_1 m_1^2 + w_2 m_2^2 - m_L^2 \\ &= w_1 m_1^2 + w_2 m_2^2 - w_1^2 m_1^2 - w_2^2 m_2^2 - 2w_1 m_1 w_2 m_2 \\ &= w_1 m_1^2 (1 - w_1) + w_2 m_2^2 (1 - w_2) - 2w_1 m_1 w_2 m_2 \\ &= w_1 w_2 m_1^2 + w_1 w_2 m_2^2 - 2w_1 m_1 w_2 m_2 \\ &= w_1 w_2 (m_1 - m_2)^2 \end{aligned} \quad (3.14)$$

According to the Otsu method if we choose an optimal  $k$  value so that  $\sigma_B^2$  is maximized

then [21]

$$S_B^2(k') = \max_{1 \leq k \leq L} S_B^2(k) \quad (3.15)$$

so that we can have best performance.

Figure 16 shows an original image to be transformed. If we use the Otsu threshold method to transform the color image to a black and white image with different



threshold values then the images are shown in Figures 18, 19, and 20. Figure 17 shows the histogram corresponding to Figure 16 (we use MATLAB<sup>®</sup> to plot a gray-level histogram).



Figure 16 Original utility meter image #1

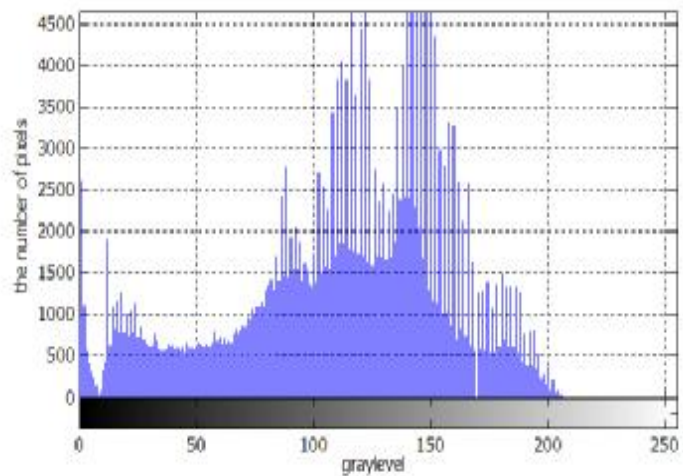


Figure 17 Histogram of utility meter image #1

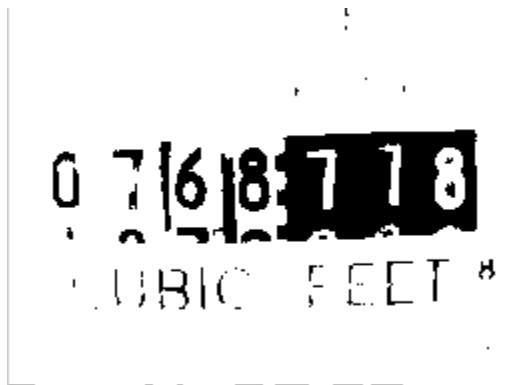


Figure 18 Black and white image with threshold value 64

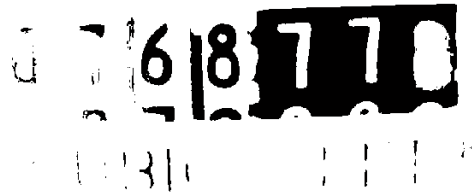


Figure 19 Black and white image with threshold value 51

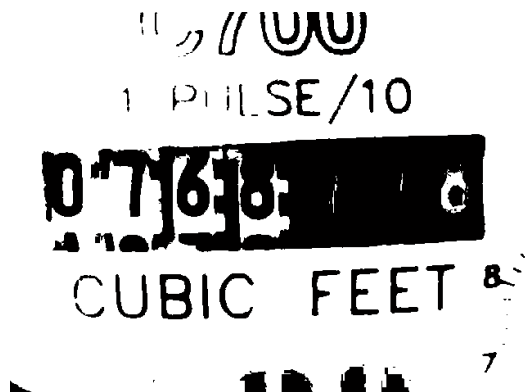


Figure 20 Black and white image with threshold value 90

From the black and white images with different threshold values we can easily see that the threshold value is a very important factor for image processing. If the threshold

value is too large then the B&W image will be too dark, and if the value is too small then the B&W image will be too light to recognize.

## **CHAPTER IV**

### **SOFTWARE AND HARDWARE**

#### **4.1 Software**

We use a computer language called Visual C#<sup>®</sup> to write an executable program (see Figure 21). The software runs on all Windows<sup>®</sup> operating system platforms. In the program there are five buttons: preprocessing, recognize, plot, cost and load as shown in Figure 21.

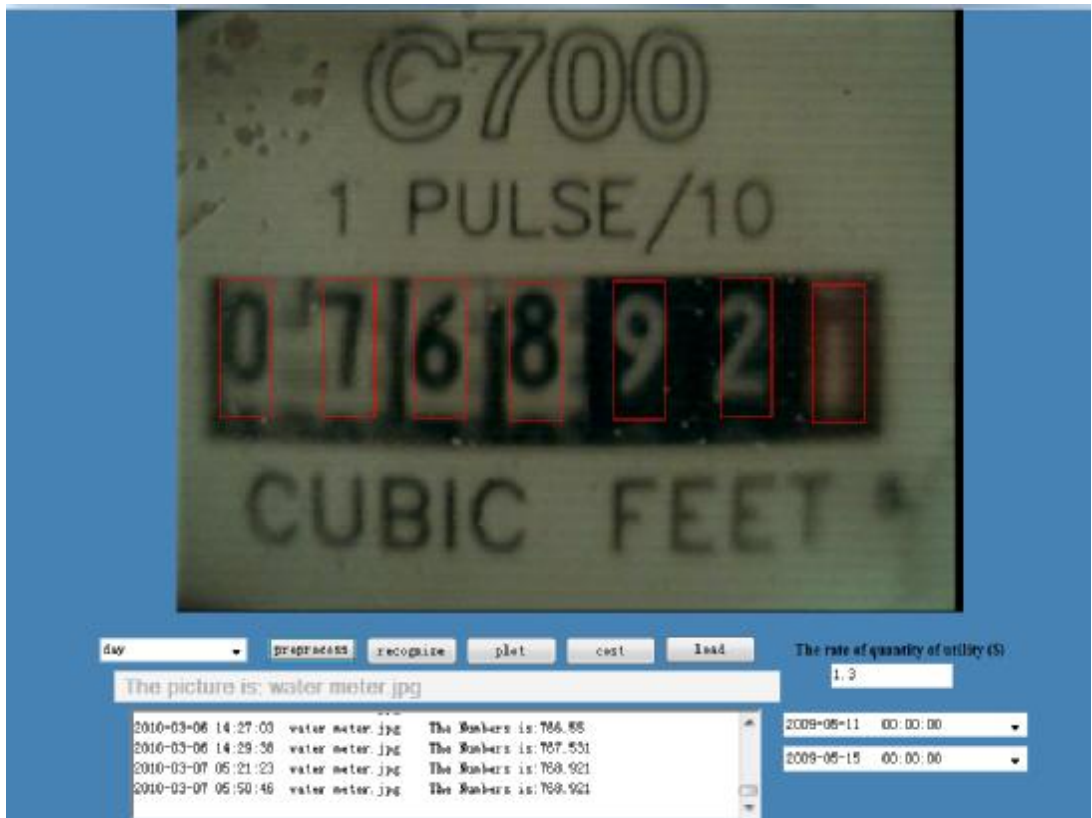


Figure 21 User interface

When we click the preprocessing button the wireless camera will start to work and the computer will obtain the image from the receiver and save the image in a special location. The next step is to locate the number in the image when the red rectangle pops up on the screen. When you finished locating the numbers by dragging the red rectangles, the computer will save the coordinate of each number as a binary file. The next time when it starts to recognize the number the computer will segment the number with the coordinates that were saved. If we click the preprocessing button the computer will “remember” the location of each digit. Therefore technically we do not need to click it again unless someone has moved the camera or we want to test it.

The next button is the recognize button. This is one of the most important buttons in manual mode. When we click the recognize button several things happen. First the computer takes the image from the saved location on the hard drive. Second it uses the Otsu method to convert the color image to a black and white image, which is done for recognition. Third the computer segments each individual number from the image, where each digit is  $66 \times 36$  pixels. Fourth the computer extracts features from those numbers. Fifth the computer compares each digit with a sample stored in the database to check which number it is. In the sixth and final step the computer combines the individual digits and displays the number on the textbox in the user interface. The computer also saves the data, including the date and number, into a text file.

The load button will display all the historical data. The next button is the plot button. When it is clicked a histogram will show up in the user interface to give a historical record of utility usage. The cost button functions similarly to the plot button. When we click it the user interface displays historical record of cost.

Last, we talk about additional issues here. Since the wireless camera and receiver system are purchased from VideoHome, a Taiwanese company, we do not have a SDK (software development kit) for the hardware. Therefore, in the beginning of this research, we could only use the receiver to collect the image from the wireless camera, but we could not manipulate the wireless camera without our program. We needed to

connect the hardware with the software. We found a C#<sup>®</sup> class (open source) which is developed by Microsoft online, and this C#<sup>®</sup> class can control the wireless camera. This class is named “**GetVideo**” which is a very universal class; it can manipulate a lot of cameras no matter what brand they are. All we needed to do was to change some parameters in the class to achieve our goal.

Another issue was that when we tried to plot the histogram in the C# environment, we found out that there was not any controller in the standard toolbox that met our requirement. We found a free controller called “**zedgraph**” that worked well with the code.

Even though we have finished the thesis, we still find the research has room for additional work, which we discuss in the following section.

## **4.2 Hardware**

The camera (Figure 22) that we use has a wireless radio built in to it. It is made by a Taiwanese company called VideoHome Technology ([www.videohome.com.tw](http://www.videohome.com.tw)). We bought our camera from a distributor called SuperDroid Robots that specializes in robotics equipment ([www.superdroidrobots.com](http://www.superdroidrobots.com)). The camera costs \$33 and has a built-in transmitter (SuperDroid part # WC-046-000). The receiver (Figure 23) costs

\$66 and can receive up to four different camera images (SuperDroid part # WC-035-000).

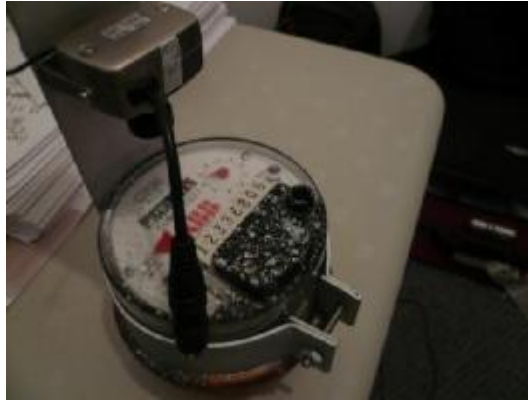


Figure 22 The wireless camera and gas meter



Figure 23 Receiver



## **CHAPTER V**

### **EXPERIMENTAL RESULTS**

In this chapter, we present experimental results based on the steps shown in Figure

24.

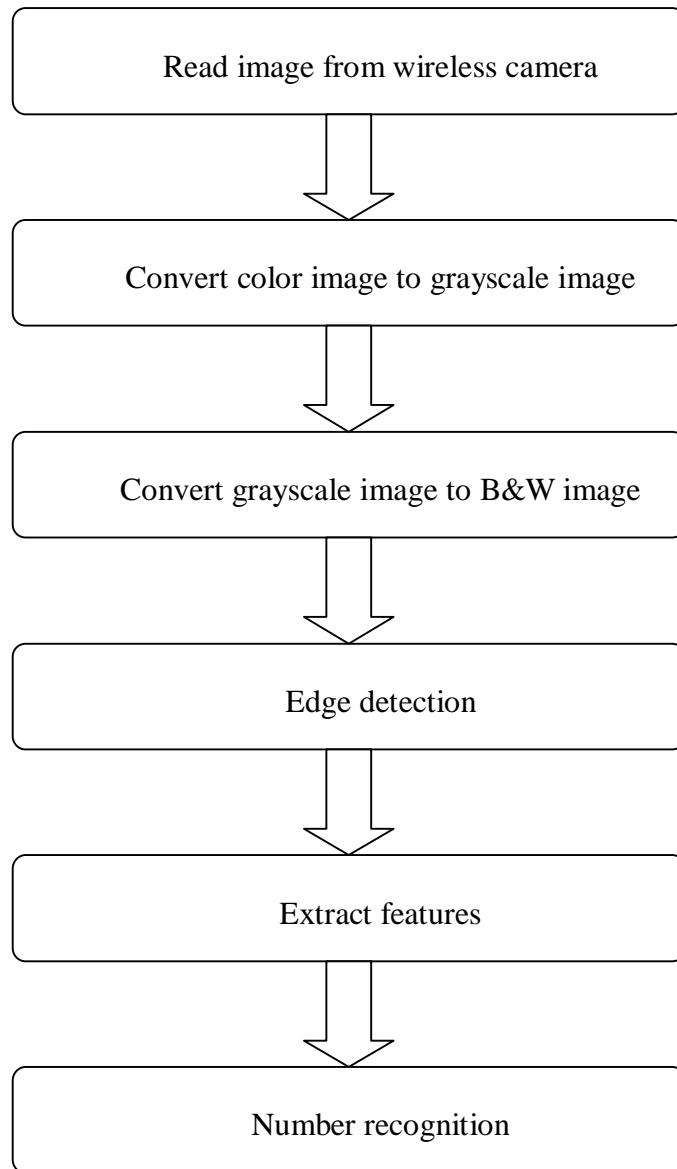


Figure 24 The basic process of the automatic meter reading system

## 5.1 Conversion of color to grayscale

Since the color in a number does not contain any information, we need to convert the color to black and white image (or gray image) so that the image is readable. If each pixel in the image is a single sample, we call this image a grayscale image. A grayscale image carries only intensity information which means that the value of red, green and blue of each pixel are equal (while the RGB values of each pixel in a color image are different). Figure 25 shows the original image and Figure 26 shows the result after conversion. There are several approaches to convert a color image to a grayscale image. In this thesis we use the following formula [8]

$$(11 \times R + 16 \times G + 5 \times B) / 32 \quad (5.1)$$

where R, G, and B are the red value, green value and blue value of each pixel respectively. This method is called the luminosity method. This formula is formed based on human perception. Because humans are more sensitive to green than other colors, green is weighted most heavily [8].



Figure 25 Original utility meter image #2



Figure 26 Grayscale image

## **5.2 Image binarization (conversion of grayscale to black and white)**

After we convert the color image to a grayscale image, each pixel of the image has only one value, and we call this value the grayscale. This grayscale value denotes the luminance level. For further recognition, we still need to convert grayscale to binary

(black and white image). Here, we use the Otsu threshold method to find the threshold value and then convert it. We have already discussed the concept of the Otsu threshold method in detail in former chapters, so we do not talk about it here. After binarization, we obtain the image shown in Figure 27.



Figure 27 Black and white image

### 5.3 Edge detection and number segment detection

The edge detection we use here is a little different than that to which people usually refer. Edge detection is a basic problem in image processing and computer vision; edge detection aims to point out where the brightness of digital images changes sharply. Instead of automatic detection, we use manual detection. Generally speaking, the utility meter is located outside of the house, which means when we collect the data from the meter we may face different weather situations. Therefore we derive a new approach here. As Figure 28 shows, we create some rectangles to locate the numbers,

with which we can increase the recognition rate.

In a way this is manual recognition, however, after the system is calibrated, it is automatic recognition. We locate the numbers manually the first time; after that the computer can “remember” the location, and locate digits according to last manual calibration. Therefore, this is still an automatic recognition. In our program, we simply click the preprocessing button, then the image will appear with those rectangles. Then we can move these rectangles by clicking and dragging with the computer mouse to locate the number areas, and the computer will save the locations automatically. Next time you click the recognition button, the program will crop the number areas based on the saved locations. Figure 28 shows the original image with red rectangles and Figure 28 shows the result after image binarization and number segmentation.

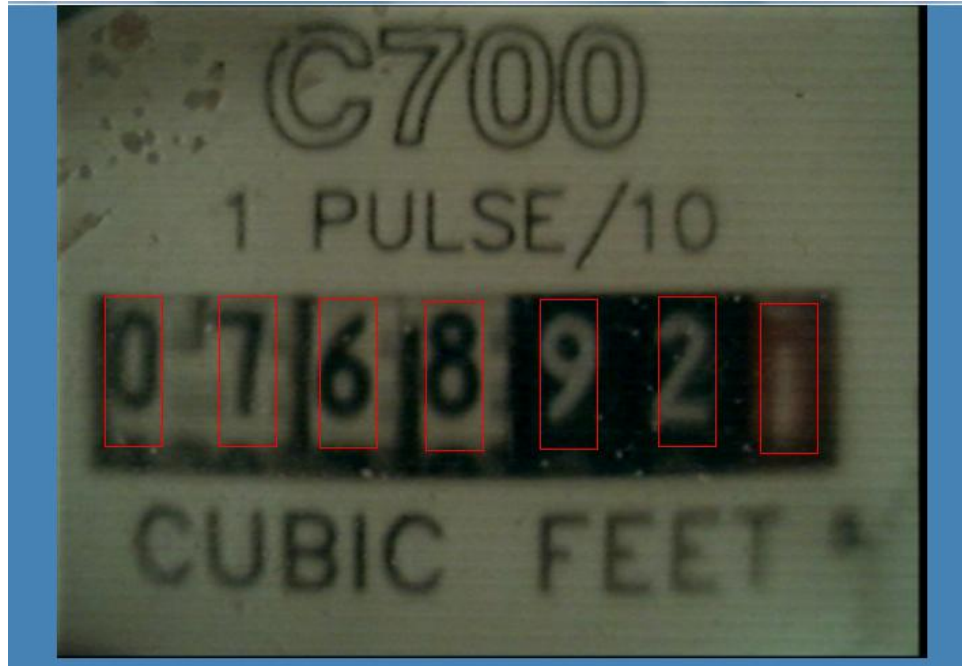


Figure 28 Original image with red rectangles

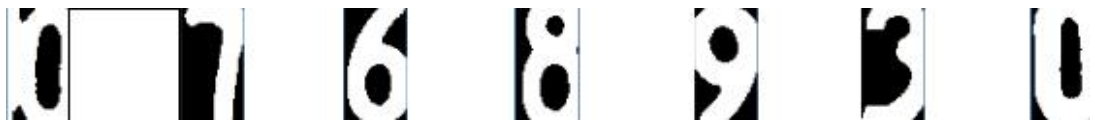


Figure 29 Seven digits

## 5.4 Feature selection and extraction

After we segment numbers, now we can extract the features from each of them.

Like we mention in Chapter 2, though we have a few methods to extract features, we simply use  $n \times m$  template extraction in this thesis.

The size of the original image the computer acquires from the receiver is  $720 \times 576$  pixels and each digit is  $36 \times 66$ . We use  $n \times m$  template extraction to divide it. We take  $n=6$  and  $m=11$ , which means we have 66 super pixels and the size of each

super pixel is  $6 \times 6$ . Here, we explain why we chose the super pixel as  $6 \times 6$ . The factors of 36 are 1, 2, 3, 4, 6, 9, 12, 18 and 36, and the factors of 66 are 1, 2, 6, 11, 33, and 66. So there are  $9 \times 6 = 54$  possible combinations of  $n$  and  $m$ . If  $n$  and  $m$  are too small, it will take too much computation time, and if  $n$  and  $m$  are too large, the number of feature will not be enough for recognition. We have tested all of 54 combinations, and found that the result of  $6 \times 6$  is the best balance between accuracy and computation time. We calculated the sum of pixels of each  $6 \times 6$  area to obtain 66 super pixel values, and then we used these 66 values as the features. Figure 30 shows that the number has 66 features.

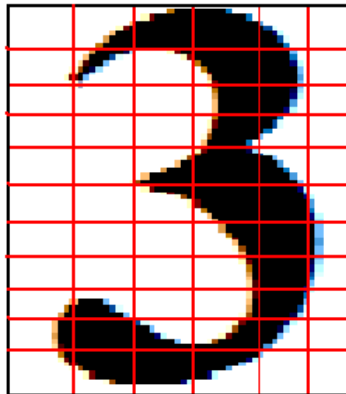


Figure 30  $6 \times 11$  features

After we extract the features, we input these into a matrix to compare with samples previously saved in order to choose the sample which has the highest similarity. We save all the features as binary matrix format, that is, the each element of the matrix is equal to 0 or 1. 1 represents white, and 0 represents black. Since number recognition is



based on black and white images, the matrix is binary.

## **5.5 Number recognition**

Now that we have collected the information we need to recognize, the next step is to recognize the number. All we need is a classifier. A classifier is a black box which we input some information into, and then the result we want will be output. In this case we input features, and the classifier outputs the number. Since we talk about classifiers in Chapter 2, we do not go into detail here, but we summarize our approach in the following paragraph.

There are several approaches to design a classifier, such as template matching, artificial neural network, probabilistic approach, etc. We choose template matching in this thesis because it is easy to understand, and every step of template matching is very clear. If some step of template matching goes wrong, we can easily find out and fix it. However, since this method leads to large amount of calculation and large amount of storage, it may take more time than other methods as a disadvantage. After testing hundreds of samples, we find the average recognition time is about 30 seconds, which is acceptable for AMR.

Since we use template matching, we should calculate the distance between two

patterns. Therefore we decide to use Euclidean distance to calculate the distance. The formula is shown below [27]

$$\begin{aligned} D_{ij}^2 &= (X_i - X_j)^T (X_i - X_j) = \|X_i - X_j\|^2 \\ &= \sum_{k=1}^n (x_{ik} - x_{jk})^2 \end{aligned} \tag{5.2}$$

where  $X_i$  is the pattern being recognized and  $X_j$  is the sample. The basic rule of this approach is to check which  $D_{ij}^2$  is smallest.

We have about 200 standard samples in our database and we can use the features of those samples to compare with the features of the patterns. Simply speaking, we put the feature value into the formula above. Figure 31 shows some of our sample database.



Figure 31 Some samples in the database

## 5.6 Image Histogram

After we recognize the number, for the sake of the user's convenience we collect all the data and plot historical data for the user's review. The histogram can show data by the hour, day or month. If we use day mode the histogram will display the data day by day. If you collect every day, when you choose month mode it displays the data month by month. Figure 32 shows the water usage from May 11 to May 14. In the figure, the usage on May 11 is around 5, while it is 1 on May 14. The consumer can easily calculate the water usage every day; if he or she uses too much water in some period, the software may warn the consumer that he or she should save water. Therefore we believe that the system can help the consumer save money.

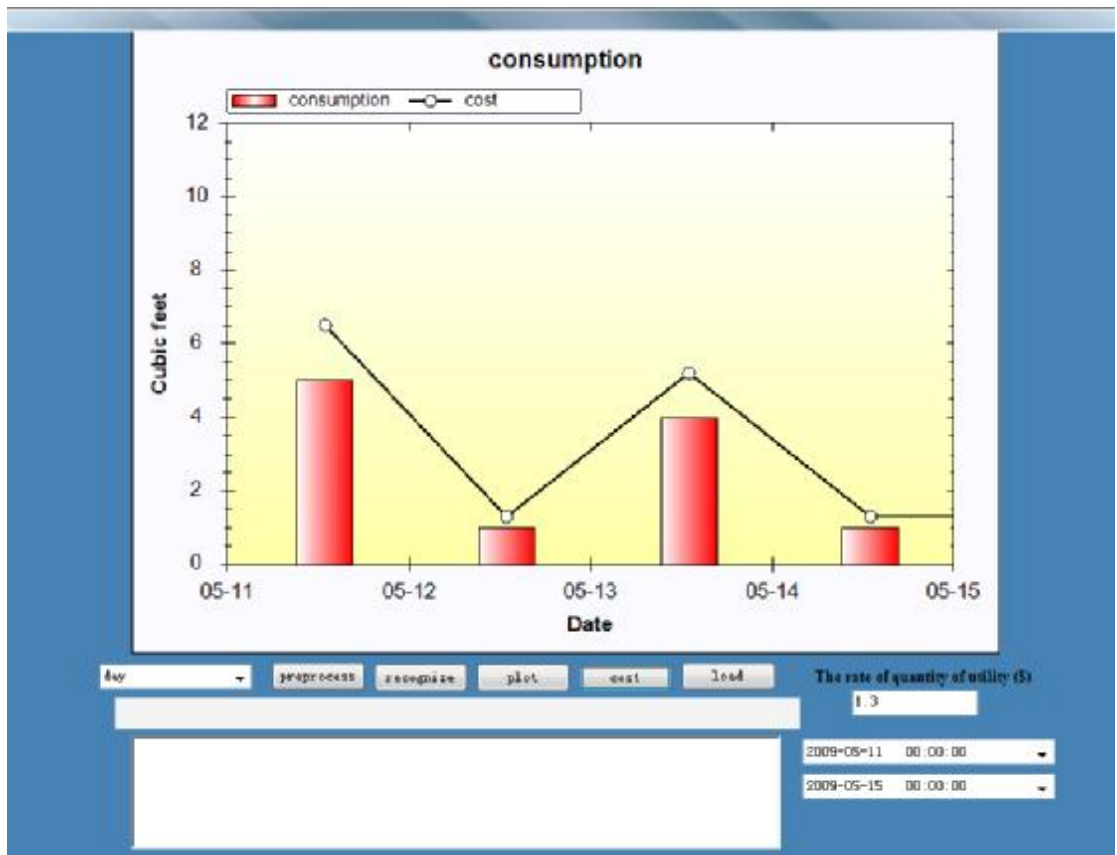


Figure 32 Data histogram

## 5.7 Test results

Through testing many samples we found out that sometimes the system has difficulty in identifying some digits, such as 1 and 7, which are the most likely to be confused. Now let us compare Figure 33 and Figure 34, where we can see it is quite hard to tell the digit 1 from 7 in these cases even for humans without careful inspection.



Figure 33 Digit seven



Figure 34 Digit one

We have tested 105 samples in a regular environment, which means the light intensity is not too high nor too low. Eight samples failed to be recognized in the test. Therefore, the correct recognition rate is 92.3%. Each digit has a different recognition rate; Table I shows the correct recognition rate of each sample.

Table I Digit recognition test results

Digit	Number of correctly identified	Number of images	Recognition rate (%)
0	15	15	100%
1	11	11	100%
2	9	10	90%
3	7	8	87%
4	6	7	86%
5	10	10	100%
6	10	11	91%
7	11	14	78%
8	9	10	90%
9	14	14	100%
Correct recognition rate			92.3%

Most cases of recognition failure happen when the digit is transitioning from one

to another. Figure 35 is one of these cases. We can see in this image that the last digit is changing from seven to eight, and the system identifies it as 0768741. In order to resolve this issue, we suggest designing an auto-emendation program. For example, the computer compares the reading with the previous reading. If the previous one is bigger, then the computer takes the previous one. If it is not, the computer takes the new reading.



Figure 35 Example of failed utility meter reading

## 5.8 Factors that affect results

Next we talk about some factors that may affect recognition results. Through testing, we found out that the following factors affect AMR system: the distance between the receiver and wireless camera, cell phone or electromagnetic signals, and light intensity.

### 5.8.1 Effect of radio distance

Table II shows how distance affects the radio. We should also note that while the images in Table II seem not as clear as that in Figure 35, this is due to the low light intensity test environment.

Table II The effect of transmitter/receiver distance on the wireless camera signal










Distance (m)	Image	Clarity
0.5 m		Very clear
1 m		Very clear
2 m		Very clear
5m		Clear

Table II (continued)

8 m		Clear enough
10 m		Cannot be recognized
12 m		Cannot be recognized
15 m		Cannot be recognized
18 m		Lost connection

From Table II we can find that the result is very good when the transmitter/receiver distance is within 5 m, and the receiver can still receive a clear






image from the wireless camera when the distance is between 5 m and 8 m (although sometimes the result may be wrong), but once the distance extends to more than 8 m, the image we acquire from the wireless camera is very fuzzy and cannot be recognized. When the distance approaches 18 m, the receiver loses connection with the wireless camera.

### **5.8.2 Effect of electromagnetic interference**

The second factor that affects the results is the radio interference, which may be influenced by cell phone and electromagnetic signals. We used a cell phone close to the wireless camera and receiver to test the interference with the radio, and we found that the signal would affect the radio only if the distance between the cell phone and the wireless camera was below 30 cm. Table III shows the distance between cell phone and receiver, and its effect on the image.

Table III The effect of electromagnetic interference on the wireless camera signal

Distance (cm)	Image	Clarity
0 cm		Hard to recognize
20 cm		May be recognized
30 cm		Very clear

### 5.8.3 Effect of light intensity

The last but most important factor affecting the radio is light intensity. Though we could not measure how bright conditions had to be for the system to no longer function properly, we still want illustrate the problem through some images. Table IV shows some images the system could not recognize because of too bright or too dark. In the future we may add an external light so that the wireless camera can work in

different levels of light intensity.

Table IV The effect of light intensity on the wireless camera signal

Image	Results
	8808926
	0768928
	7768926
	0110611

## **CHAPTER VI**

### **CONCLUSION**

#### **6.1 Summary**

The main technology we used in the thesis is pattern recognition. Pattern recognition, which is one field in machine learning, is used in this thesis to recognize the number on the utility meter. Pattern recognition is "the act of taking in raw data and taking an action based on the category of the data" [15]. It is meant to classify different information into different groups based on prior knowledge. In this thesis, the prior knowledge is the sample database in the program. The machine "learns" knowledge from the sample database so that it can distinguish the number on the meter. There are a

lot of basic methodologies in pattern recognition; in this thesis we used our own technology, such as a red rectangle which helps the system to segment the number and that increases the recognition rate. In many pattern recognition cases, we cannot use this red rectangle technology because people may require all the steps to be automatic. But in this special case of AMR, it is a good approach to implement, since we just need to set the “rectangle” the first time.

Another technology that we use in this thesis is classifier design, which functions like a black box. This process will tell you the result without the user needing to know what is going on in the black box.

Another technology we used in the thesis is image binarization process. This process was designed to convert the color picture to a black and white picture so that the system can recognize the number. To convert the color picture to a black and white picture we used the method called the Otsu threshold method to find the threshold in order to finish conversion. This method is very popular in computer vision and image processing.

## **6.2 Future work**

First of all, we can't apply the design to any environment which is too dark or light

because both these situations would affect the image binarization. In order to let the wireless camera work in different levels of light intensity, we think there may be two ways to solve the problem. One way is to improve the algorithm to work in different levels of light intensity, and the other way is to add a light so every time we run the program the wireless camera works in the same light intensity.

Second, we now can only recognize one utility meter at a time: what if a consumer wants to check several meters at once? We are thinking of improving our code in order to control four wireless cameras at the same time. The hardware can manipulate four wireless cameras simultaneously, which means we don't need to change the hardware

Third, we need a base station which is a computer to analyze and present the results, which means a consumer need to sit in front of the computer to check the utility usage. For the sake of convenience, we are thinking of adding an Internet function to our device. For example, we can use some software to send the results to the consumer's cell phone so that he or she can check the utility usage immediately, no matter where they are.

Fourth, the system cannot recognize an analog style meter, such as a gas meter (most gas meters in U.S. are analog as Figure 2 shows). We will change our recognition method to meet this requirement; for instance, we may change from

template matching to a neural network.

Fifth, the system still fails to recognize numbers sometimes, for instance, the number in Figure 36. We can see in the figure that the number is transitioning from 1 to 2. Therefore how to reduce the probability of that kind of error occurring becomes a very important job. We suggest that we can extend our sample database to help solve this problem



Figure 36 Reading during a transition from 1 to 2

### **6.3 Cost and benefits**

Finally we will talk about the cost of the product and how much a utility company may save by using it. The product includes the receiver, the wireless camera, the fixture to hold the wireless camera, and the external light source. The receiver costs \$66; the camera costs \$33; the mechanical fixturing costs \$5; the light costs an estimated \$10. Generally speaking, a customer has a gas, water and electricity meter, and when we manufacture this AMR in quantity, we can get a 50% volume discount for each component of the product. Therefore the product will cost around  $(\$66+3\times$

$(\$33+\$5+\$10) \times 50\% = \$105$ . Based on how much money it costs to employ human meter readers and to obtain meter readings, a utility company may be able to save a substantial amount of money by using this AMR system.

Finally, the installation of AMR will include other benefits beyond the monetary ones. For example, hard-to-access meters can be read without difficulty and without worrying about fences and animals. Reader errors will be reduced, which will increase customer satisfaction. Customers will be able to monitor their utility usage in real-time and remotely, which will give them a feeling of more control over their utility usage. Leaks will be able to be detected more easily.



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